



# **Partial Label Learning with a Partner**

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AAAI 2024

# Background

Partial Label Learning (PLL)



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Partial label learning (PLL), also known as superset-label learning or ambiguous label learning, is a representative weakly supervised learning framework which learns from inaccurate supervision information.

In partial label learning, each instance is associated with a set of candidate labels with only one being ground-truth and others being false positive.

As the ground-truth label of a sample conceals in the corresponding candidate label set, which can not be directly acquired during the training process, partial label learning task is a quite challenging problem.

# **Related work**



To tackle the mentioned challenge, existing works mainly focus on disambiguation

Averaging-basedSuch as PL-KNN(2005) averages the candidate labels of neighboring samples to make the<br/>prediction.approachesprediction.

Identification-based approaches The ground-truth label is treated as a latent variable and can be identified through an iterative optimization procedure such as EM. Labeling confidence based strategy is proposed in many state-of-the-art identification based approaches for better disambiguation.

Deep-learning basedPICO(2022) is a contrastive learning-based approach devised to tackle label ambiguity in<br/>partial label learning.modelsPRODEN(2020) is a model where the simultaneous updating of the model and<br/>identification of true labels are seamlessly integrated.

### **Motivation**



However, a significant yet rarely studied question arises in the context of such algorithms: can a classifier correct a false positive candidate label (i.e., invalid candidate label) with a large or upward-trending labeling confidence at a later stage?



(a). For a false positive candidate label with a large labeling confidence, although its confidence may decrease properly, it could still be larger than the ground-truth one's.



(b). The labeling confidence of a false positive candidate label keeps increasing and becomes the largest, which misleads the final prediction.





Denote  $X = [x_1, x_2, ..., x_n]^T \in \mathbb{R}^{n \times q}$  the sample matrix with *n* instances, and  $Y = [y_1, y_2, ..., y_n]^T \in \{0, 1\}^{n \times l}$  the partial label matrix with *l* labels, where  $y_{ij} = 1$  (resp.  $y_{ij} = 0$ ) if the *j*-th label of  $x_i$  resides in (resp. does not reside in) its candidate label set. Given the partial label data set  $\mathcal{D} = \{x_i, S_i | 1 \le i \le n\}$ , the task of PLL is to learn a multi-class classifier  $f: X \to Y$  based on  $\mathcal{D}$ .

# **Base Classifier**

Suppose  $P = [p_1, p_2, ..., p_n]^T \in \mathbb{R}^{n \times l}$  is the labeling confidence matrix.

*P* is initialized according to the base classifier. Otherwise, it is initialized as follows:

$$p_{ij} = \begin{cases} \frac{1}{\sum_{j} y_{ij}} & \text{if } y_{ij} = 1\\ 0 & \text{otherwise} \end{cases}$$

(1) 
$$\sum_{j} p_{ij} = 1$$
,  
(2)  $0 \le p_{ij} \le y_{ij}$ .

Denote the modeling output matrix  $M = [m_1, m_2, ..., m_n]^T \in \mathbb{R}^{n \times l}$ .

*P* is updated to  $P_1$  through the following equation:  $P_1 = \mathcal{T}_0(\mathcal{T}_Y(\alpha P + (1 - \alpha)M))$ , where  $\mathcal{T}_0(a) = \max\{0, a\}, \ \mathcal{T}_Y(a) = \min\{y_{ij}, a\}$ .



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#### **Blurring Mechanism**

 $Q_1 = \phi(e^k P_1) \odot Y$ where  $\phi(A) = [\exp(a_{ij})]_{n \times l}$ , *k* is a temperature parameter,  $\odot$  represents the Hadamard product.

Then normalize each row of  $Q_1$  to satisfy the two constraints of labeling confidence, and output the result  $O_1 \in \mathbb{R}^{n \times l}$ .

# **Partner Classifier**

Denote the non-candidate label matrix  $\hat{Y} = [\hat{y}_{ij}]_{n \times l}$  where  $\hat{y}_{ij} = 0$  (resp.  $\hat{y}_{ij} = 1$ ) if the *j*-th label is (resp. is not) in the candidate label set of  $x_i$ .

$$\begin{split} \hat{P} &= [\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n]^T \in \mathbb{R}^{n \times l} \text{ the non-candidate} \\ \text{labeling confidence matrix.} \\ (1) \sum_j \hat{p}_{ij} &= l - 1, \\ (2) \ \hat{y}_{ij} &\leq \hat{p}_{ij} \leq 1. \end{split}$$

Suppose  $\widehat{W} = [\widehat{w}_1, \widehat{w}_2, ..., \widehat{w}_q]^T \in \mathbb{R}^{q \times l}$  is the weight matrix, the partner classifier is formulated as follows:

$$\min_{\hat{\mathbf{W}}, \hat{\mathbf{b}}, \mathbf{C}} \quad \left\| \mathbf{X} \hat{\mathbf{W}} + \mathbf{1}_n \hat{\mathbf{b}}^{\mathsf{T}} - \mathbf{C} \right\|_F^2 + \lambda \left\| \hat{\mathbf{W}} \right\|_F^2$$
s.t.  $\hat{\mathbf{Y}} \leq \mathbf{C} \leq \mathbf{1}_{n \times l}, \mathbf{C} \mathbf{1}_l = (l-1)\mathbf{1}_n,$ 



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where  $\hat{b} = [\hat{b}_1, \hat{b}_2, ..., \hat{b}_l]^T \in \mathbb{R}^l$  is the bias term,  $1_n \in \mathbb{R}^n$  is an all one vectors,  $1_{n \times l}$  is an all one matrix with size  $n \times l$ ,  $\lambda$  is a hyper-parameter trading off these terms,  $\|\widehat{W}\|_F$  is the Frobenius norm of the weight matrix.

 $C \in \mathbb{R}^{n \times l}$  represents non-candidate labeling confidence, which is a temporary variable only used for optimization in the partner classifier.

# **The Collaborative Term**

The ideal state of  $p_i$  is one-hot. The ideal state of  $\hat{p}_i$  is zero-hot. So, the smallest value of  $p_i^T \hat{p}_i$  is obtained when  $\hat{p}_i$  is zero-hot ( $p_i$  is one-hot).

$$\min_{\hat{\mathbf{W}},\hat{\mathbf{b}},\mathbf{C}} \quad \left\| \mathbf{X}\hat{\mathbf{W}} + \mathbf{1}_{n}\hat{\mathbf{b}}^{\mathsf{T}} - \mathbf{C} \right\|_{F}^{2} + \gamma \operatorname{tr}\left(\mathbf{O}_{1}\mathbf{C}^{\mathsf{T}}\right) + \lambda \left\| \hat{\mathbf{W}} \right\|_{F}^{2}$$

s.t. 
$$\hat{\mathbf{Y}} \leq \mathbf{C} \leq \mathbf{1}_{n \times l}, \mathbf{C}\mathbf{1}_l = (l-1)\mathbf{1}_n,$$

where  $\gamma$  is a hyper-parameter.

The problem can be solved via an alternative and iterative manner.

The modeling output  $\widehat{M}$  for the training data is  $\widehat{M} = X\widehat{W} + 1_n\widehat{b}^T$ .



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The non-candidate labeling confidence  $\hat{P}$  is updated to  $\hat{P}_1$  following

$$\hat{P}_1 = \mathcal{T}_1 \left( \mathcal{T}_{\hat{Y}} \left( \alpha \hat{P} + (1 - \alpha) \hat{M} \right) \right)$$
  
where  $\mathcal{T}_1(m) = \min\{1, m\}, \ \mathcal{T}_{\hat{Y}}(m) = \max\{\hat{y}_{ij}, m\}.$ 

Then get  $\hat{Q}_1 = \phi \left( e^k (1 - \hat{P}_1) \right) \odot Y$  and normalize to  $\hat{Q}_1$ .

#### Update $\widehat{W}$ and $\widehat{b}$

With *C* fixed, the problem w.r.t.  $\widehat{W}$  and  $\widehat{b}$  can be written as

$$\min_{\widehat{W},\widehat{b}} \left\| X \widehat{W} + \mathbf{1}_n \widehat{b}^T - C \right\|_F^2 + \lambda \left\| \widehat{W} \right\|_F^2$$

which is a least square problem with the closedform solution as

 $\widehat{W} = (X^T X + \lambda I_{n \times n}) X^T C$  $\widehat{b} = \frac{1}{n} \left( C^T \mathbf{1}_n - \widehat{W}^T X^T \mathbf{1}_n \right)$ 

where  $I_{n \times n}$  is the identity matrix with the size  $n \times n$ п.

#### **Update C**

With  $\widehat{W}$  and  $\widehat{b}$  fixed, the *C*-subproblem can be formulated as

 $\min_{C} \left\| X \widehat{W} + \mathbf{1}_n \widehat{b}^T - C \right\|_{E}^{2} + \gamma tr(O_1 C^T)$  $s.t.\hat{Y} \le C \le 1_{n \times l}, C1_l = (l-1)1_n$ For simplicity,  $O_1$  is written as O and  $J = X\widehat{W} +$  $1_n \hat{b}^T$ .

Notice that each row of *C* is independent to other rows, therefore the problem can be solved row by row:

 $\min_{C_i} C_i^T C_i + (\gamma O_i - 2J_i)^T C_i$ s.t.  $\hat{Y}_i \le C_i \le 1_n, C_i 1_l = l - 1$ 

The problem is a standard Quadratic Programming (QP) problem, which can be solved by off-the-shelf QP tools.



#### **Kernel Extension**

Denote  $\phi(\cdot): \mathbb{R}^q \to \mathbb{R}^h$  the feature mapping that maps the feature space to some higher dimension space with h dimensions. Then we can rewrite as

$$\begin{split} \min_{\widehat{W},\widehat{b}} \|Z\|_F^2 + \lambda \|\widehat{W}\|_F^2\\ s.t.Z &= \Phi \widehat{W} + 1_n \widehat{b}^T - C\\ \text{where } \Phi &= [\phi(x_1), \phi(x_2), \dots, \phi(x_n)]. \end{split}$$



#### **Deep-learning Extension**

Denote a model in  $\mathcal{B}$  with such architecture  $g(\cdot)$ , specifically, an additional model  $\hat{g}(\cdot)$  with the same architecture as  $g(\cdot)$  is introduced as the partner classifier, which predicts the non-candidate labeling confidence of each sample.

$$\begin{aligned} \mathcal{L}_{com} &= -\sum_{i=1}^{l} (1 - y_i) \log(\hat{g}_i(x)) \\ \mathcal{L}_{col} &= -\sum_{i=1}^{l} p_i \hat{p}_i \\ \text{Here, } p &= \frac{\phi(e^k g(x)) \odot y}{(\phi(e^k g(x)) \odot y) \mathbf{1}_l'}, \\ \text{and } \hat{p} &= \mathbf{1}_l^T - \frac{\phi(e^k (1 - \hat{g}(x))) \odot y}{(\phi(e^k (1 - \hat{g}(x))) \odot y) \mathbf{1}_l}. \\ \text{The overall loss function is:} \\ \mathcal{L} &= \mathcal{L}_{ori} + \mathcal{L}_{com} + \mu \mathcal{L}_{col}. \end{aligned}$$

#### Experiment



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Approaches	Data set							
Approactics	FG-NET	Lost	MSRCv2	Mirflickr	Soccer Player	Yahoo!News	FG-NET(MAE3)	FG-NET(MAE5)
PL-CL	$0.072 \pm 0.009$	$0.710 \pm 0.022$	$0.469 \pm 0.016$	$0.647 \pm 0.012$	$0.534 \pm 0.004$	$0.618 \pm 0.003$	$0.433 \pm 0.022$	$0.575 \pm 0.015$
PL-CL-PLCP	$0.080 \pm 0.009 \bullet$	$0.763 \pm 0.020 \bullet$	$0.493 \pm 0.013 \bullet$	$0.665 \pm 0.011 \bullet$	$0.543 \pm 0.002 \bullet$	$0.625 \pm 0.002 \bullet$	0.447 ± 0.017 ●	$0.595 \pm 0.009 \bullet$
PL-AGGD	$0.063 \pm 0.010$	$0.690 \pm 0.020$	$0.451 \pm 0.023$	$0.610 \pm 0.012$	$0.521 \pm 0.004$	$0.605 \pm 0.002$	$0.418 \pm 0.020$	$0.562 \pm 0.020$
PL-AGGD-PLCP	$0.076 \pm 0.010 \bullet$	0.717 ± 0.020 •	0.473 ± 0.017 ●	$0.668 \pm 0.014 \bullet$	0.534 ± 0.005 •	$0.609 \pm 0.002 \bullet$	$0.441 \pm 0.020 \bullet$	0.581 ± 0.014 ●
SURE	$0.052 \pm 0.007$	$0.709 \pm 0.022$	$0.445 \pm 0.022$	$0.630 \pm 0.022$	$0.519 \pm 0.004$	$0.598 \pm 0.002$	$0.356 \pm 0.020$	$0.494 \pm 0.021$
SURE-PLCP	$0.076 \pm 0.011 \bullet$	0.719 ± 0.019 •	0.460 ± 0.020 ●	$0.657 \pm 0.020 \bullet$	$0.527 \pm 0.004 \bullet$	$0.606 \pm 0.002 \bullet$	$0.441 \pm 0.019 \bullet$	0.582 ± 0.016 ●
LALO	$0.065 \pm 0.010$	$0.682 \pm 0.019$	$0.449 \pm 0.016$	$0.629 \pm 0.016$	$0.523 \pm 0.003$	$0.601 \pm 0.003$	$0.422 \pm 0.023$	$0.566 \pm 0.015$
LALO-PLCP	0.076 ± 0.010 ●	0.701 ± 0.019 •	0.453 ± 0.015 •	$0.647 \pm 0.018 \bullet$	$0.529 \pm 0.004 \bullet$	$0.605 \pm 0.002 \bullet$	$0.443 \pm 0.020 \bullet$	0.583 ± 0.014 •
PL-SVM	$0.043 \pm 0.008$	$0.406 \pm 0.033$	$0.389 \pm 0.029$	$0.516 \pm 0.022$	$0.412 \pm 0.006$	$0.509 \pm 0.006$	$0.314 \pm 0.019$	$0.445 \pm 0.016$
PL-SVM-PLCP	$0.081 \pm 0.011 \bullet$	0.688 ± 0.029 ●	0.468 ± 0.025 ●	$0.607 \pm 0.023 \bullet$	$0.526 \pm 0.005 \bullet$	$0.609 \pm 0.002 \bullet$	0.439 ± 0.021 ●	0.583 ± 0.016 ●
PL-KNN	$0.036 \pm 0.006$	$0.300 \pm 0.018$	$0.393 \pm 0.014$	$0.454 \pm 0.016$	$0.492 \pm 0.003$	$0.368 \pm 0.004$	$0.288 \pm 0.014$	$0.440 \pm 0.017$
PL-KNN-PLCP	$0.076 \pm 0.009 \bullet$	$0.662 \pm 0.025 \bullet$	0.469 ± 0.016 ●	$0.607 \pm 0.023 \bullet$	$0.523 \pm 0.004 \bullet$	0.593 ± 0.004 •	$0.434 \pm 0.020 \bullet$	0.579 ± 0.016 ●
	Average Improveme	ent Ratio: PL-CL: 3.0	51% PL-AGGD: 5.1	10 % SURE: 12.24	% LALO: 4.01 %	PL-SVM: 39.26 %	PL-KNN: 53.98 %	

Table 6: Classification accuracy of each compared approach on the real-world data sets. For any compared approach  $\mathcal{B}$ , •/• indicates whether  $\mathcal{B}$ -PLCP is statistically superior/inferior to  $\mathcal{B}$  according to pairwise *t*-test at significance level of 0.05.

Approaches		CIFAR-10		CIFAR-100			
	q = 0.1	q = 0.3	q = 0.5	q = 0.01	q = 0.05	q = 0.1	
PICO	$94.39 \pm 0.18 \%$	94.18 ± 0.12 %	$93.58 \pm 0.06 \%$	$73.09 \pm 0.34$ %	$72.74 \pm 0.30$ %	69.91 ± 0.24 %	
PICO-PLCP	94.80 ± 0.07 % ●	94.53 ± 0.10 % ●	93.67 ± 0.16 % ●	73.90 ± 0.20 % ●	$73.51 \pm 0.21\%$	• $70.00 \pm 0.35 \%$	
Fully Supervised	$B: 94.91 \pm 0.$	07 % <b>B-PLCP</b> :	$95.02 \pm 0.03 \%$	$B: 73.56 \pm 0.1$	0% <i>B</i> -PLCP:	$90.30 \pm 0.08$ %	
PRODEN	89.12 ± 0.12 %	87.56 ± 0.15 %	84.92 ± 0.31 %	$63.36 \pm 0.33 \%$	$60.88 \pm 0.35$ %	$50.98 \pm 0.74$ %	
PRODEN-PLCP	89.63 ± 0.15 % ●	88.19 ± 0.19 % •	85.31 ± 0.31 % ●	$64.20 \pm 0.25 \% \bullet$	61.78 ± 0.29 ●	$50.76 \pm 0.90$ %	
Fully Supervised	$B: 90.03 \pm 0$	.13 % <b>B-PLCP</b> :	$73.69 \pm 0.14 \%$	$B: 65.03 \pm 0.3$	35 % B-PLCP:	$65.52 \pm 0.32$ %	

Table 2: Classification accuracy of each compared approach on CIFAR-10 and CIFAR-100. For any compared approach  $\mathcal{B}$ , •/o indicates whether  $\mathcal{B}$ -PLCP is statistically superior/inferior to  $\mathcal{B}$  according to pairwise *t*-test at significance level of 0.05.

#### Experiment



	Data set								
Approaches	FG-NET	Lost	MSRCv2	Mirflickr	Soccer Player	Yahoo!News	FG-NET(MAE3)	FG-NET(MAE5)	
PL-CL	$0.159 \pm 0.016$	$0.832 \pm 0.019$	$0.585 \pm 0.012$	$0.697 \pm 0.019$	$0.715 \pm 0.001$	$0.827 \pm 0.003$	$0.600 \pm 0.029$	$0.737 \pm 0.018$	
PL-CL-PLCP	$0.180 \pm 0.011 \bullet$	$0.852 \pm 0.011 \bullet$	0.638 ± 0.008 ●	$0.704 \pm 0.021 \bullet$	0.719 ± 0.002 ●	$0.829 \pm 0.000 \bullet$	$0.618 \pm 0.023 \bullet$	0.748 ± 0.017 ●	
PL-AGGD	$0.141 \pm 0.012$	$0.793 \pm 0.020$	$0.557 \pm 0.015$	$0.695 \pm 0.015$	$0.669 \pm 0.003$	$0.808 \pm 0.005$	$0.571 \pm 0.027$	$0.709 \pm 0.025$	
PL-AGGD-PLCP	$0.165 \pm 0.014 \bullet$	$0.827 \pm 0.019 \bullet$	$0.640 \pm 0.015 \bullet$	$0.715 \pm 0.015 \bullet$	$0.713 \pm 0.003 \bullet$	$0.831 \pm 0.004 \bullet$	$0.599 \pm 0.026 \bullet$	0.736 ± 0.019 ●	
SURE	$0.158 \pm 0.012$	$0.796 \pm 0.026$	$0.603 \pm 0.016$	$0.650 \pm 0.024$	$0.700 \pm 0.003$	$0.798 \pm 0.005$	$0.590 \pm 0.019$	$0.727 \pm 0.020$	
SURE-PLCP	$0.170 \pm 0.013 \bullet$	$0.834 \pm 0.024 \bullet$	$0.621 \pm 0.013 \bullet$	$0.699 \pm 0.025 \bullet$	$0.703 \pm 0.003 \bullet$	$0.827 \pm 0.005 \bullet$	$0.603 \pm 0.024 \bullet$	$0.738 \pm 0.022 \bullet$	
LALO	$0.153 \pm 0.017$	$0.818 \pm 0.019$	$0.548 \pm 0.009$	$0.681 \pm 0.013$	$0.688 \pm 0.004$	$0.822 \pm 0.004$	$0.593 \pm 0.025$	$0.730 \pm 0.015$	
LALO-PLCP	$0.168 \pm 0.018 \bullet$	$0.831 \pm 0.019 \bullet$	$0.620 \pm 0.009 \bullet$	$0.694 \pm 0.019 \bullet$	$0.706 \pm 0.004 \bullet$	$0.827 \pm 0.004 \bullet$	$0.604 \pm 0.025 \bullet$	0.741 ± 0.019 •	
PL-SVM	$0.176 \pm 0.015$	$0.609 \pm 0.055$	$0.570 \pm 0.040$	$0.581 \pm 0.022$	$0.660 \pm 0.008$	$0.691 \pm 0.005$	$0.566 \pm 0.025$	$0.706 \pm 0.024$	
PL-SVM-PLCP	$0.192 \pm 0.012 \bullet$	$0.786 \pm 0.032 \bullet$	$0.639 \pm 0.031 \bullet$	$0.628 \pm 0.027 \bullet$	0.709 ± 0.006 •	0.821 ± 0.004 •	$0.582 \pm 0.025 \bullet$	0.719 ± 0.022 •	
PL-KNN	$0.041 \pm 0.007$	$0.337 \pm 0.030$	$0.415 \pm 0.014$	$0.466 \pm 0.013$	$0.493 \pm 0.004$	$0.403 \pm 0.010$	$0.285 \pm 0.017$	$0.438 \pm 0.015$	
PL-KNN-PLCP	$0.166 \pm 0.012 \bullet$	$0.784 \pm 0.031 \bullet$	$0.635 \pm 0.015 \bullet$	0.626 ± 0.019 ●	0.698 ± 0.004 ●	0.790 ± 0.008 ●	$0.576 \pm 0.022 \bullet$	0.724 ± 0.019 ●	

Table 7: Transductive accuracy of each compared approach on the real-world data sets. For any compared approach  $\mathcal{B}$ , •/• indicates whether  $\mathcal{B}$ -PLCP is statistically superior/inferior to  $\mathcal{B}$  according to pairwise *t*-test at significance level of 0.05.





Kernel Partno	Partner	Blur	Data set							
	ratuel		FG-NET	Lost	MSRCv2	Mirflickr	Soccer Player	Yahoo!News	FG-NET(MAE3)	FG-NET(MAE5)
	PL-AGGD		$0.063 \pm 0.010$	$0.690 \pm 0.020$	$0.451 \pm 0.023$	$0.610 \pm 0.012$	$0.521 \pm 0.004$	$0.605 \pm 0.002$	$0.418 \pm 0.020$	$0.562 \pm 0.020$
X	P	X	$0.073 \pm 0.011 \bullet$	$0.698 \pm 0.023 \bullet$	$0.380 \pm 0.013 \bullet$	$0.542 \pm 0.013 \bullet$	$0.492 \pm 0.003 \bullet$	$0.463 \pm 0.002 \bullet$	$0.421 \pm 0.020 \bullet$	0.560 ± 0.016 •
1	P	×	$0.073 \pm 0.006 \bullet$	$0.721 \pm 0.024$ o	0.471 ± 0.016 •	$0.664 \pm 0.012 \bullet$	$0.521 \pm 0.004 \bullet$	$0.608 \pm 0.003 \bullet$	$0.422 \pm 0.030$ •	$0.566 \pm 0.020 \bullet$
~	0	~	0.071 ± 0.001 ●	$0.721 \pm 0.004$ o	0.470 ± 0,020 ●	$0.663 \pm 0.011 \bullet$	$0.522 \pm 0.003 \bullet$	$0.605 \pm 0.002 \bullet$	$0.417 \pm 0.022 \bullet$	0.576 ± 0.014 ●
~	P	~	$0.076 \pm 0.010$	$0.717 \pm 0.020$	$0.473 \pm 0.017$	$0.668 \pm 0.014$	$0.534 \pm 0.005$	$0.609 \pm 0.002$	$0.441 \pm 0.020$	$0.581 \pm 0.014$

Table 8: Ablation study of PLCP coupled with PL-AGGD. •/ $\circ$  indicates whether PL-AGGD-PLCP is statistically superior/inferior to its degenerated version according to pairwise *t*-test at significance level of 0.05.







Figure 3: Sensitivity of PLCP.

# Thanks