



南京航空航天大学

Nanjing University of Aeronautics and Astronautics



模式分析与机器智能
工业和信息化部重点实验室

MIIT Key Laboratory of
Pattern Analysis & Machine Intelligence

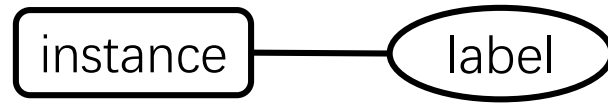
Exploiting Class Activation Value For Partial-Label Learning

ICLR 2022

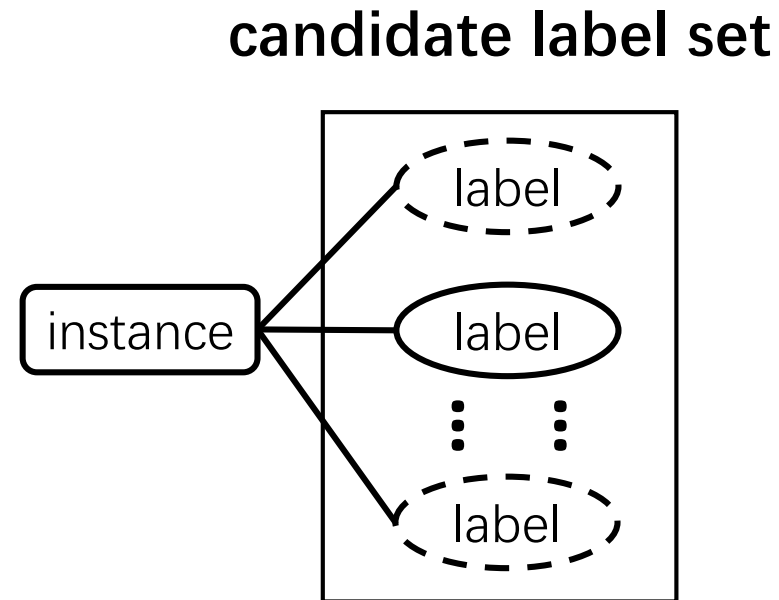
CAM(Class Activation Map) -> **CAV**(Class Activation Value) -> **CAVL**

Problem to be solved : Partial Label Learning

- Ordinary supervised learning vs. **Partial label learning**



Ordinary supervised learning
(only one ground-truth label)



Partial label learning
(a candidate label set,
among which only one
label is valid)

1. **平均消歧策略** average-based strategy (ABS)
平等地对待所有的候选标签
2. **辨识消歧策略** identification-based strategy (IBS)
致力于不断地从候选标记集合中识别出最有可能的真实标记

PRODEN 假设真实标记在候选标记集中实现最小损失;

MGPLL 基于非随机标记噪声假设;

在这些方法中，只有少部分可以和深度神经网络兼容。

大多数现有的PLL方法，通过利用学习到的模型的内在表征来确定训练过程中的真实标记，依赖于对所收集数据的一些假设。

Background : GAP 全局平均池化

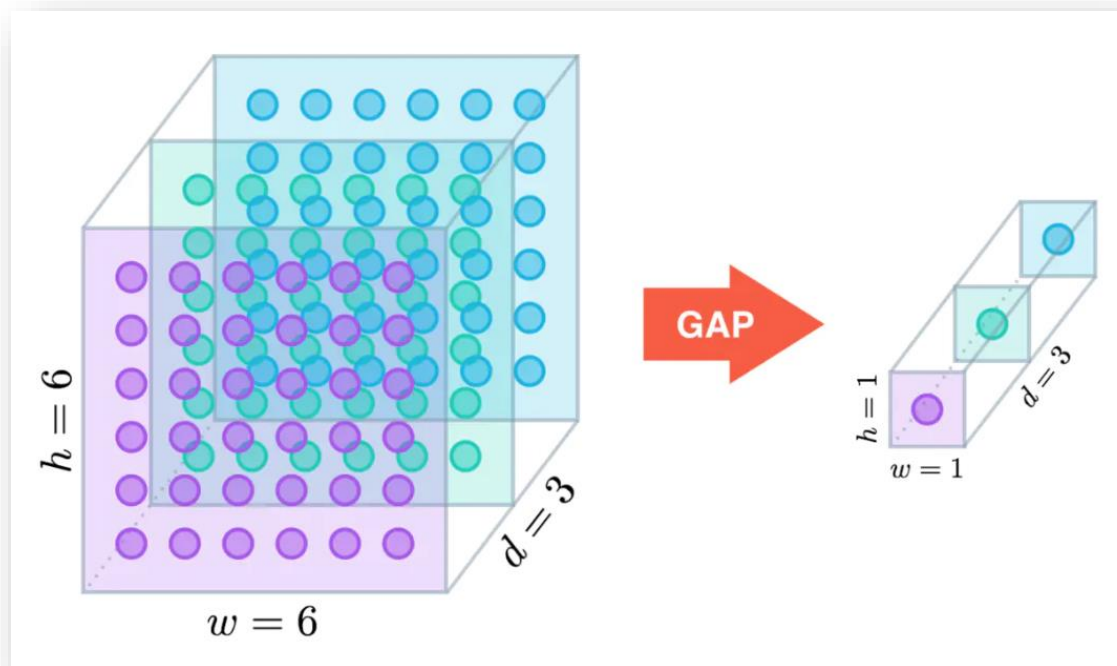


- Global Average Pooling (GAP)

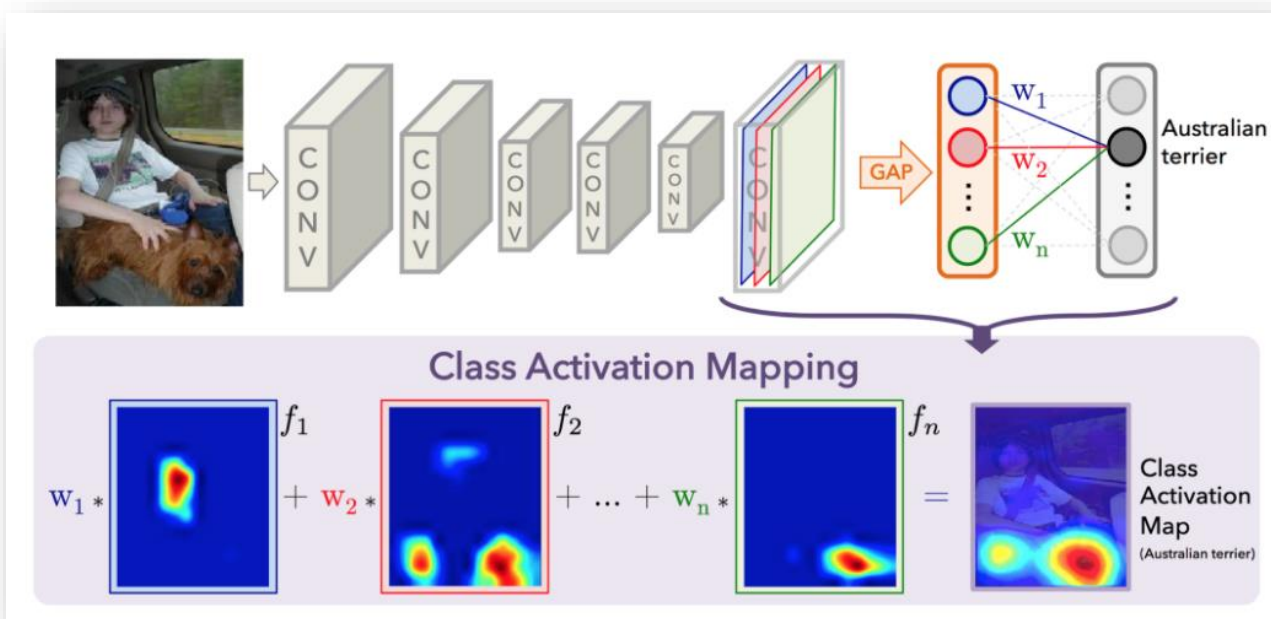
可以看出其是将矩阵中的 $[w, h]$, 进行平均池化变为一个单元。

相比于**全连接层**有2个优点:

- 1.模型更轻量化
- 2.获得目标定位的能力



Background : CAM : Class Activation Map



数学上表示为加权特征图（feature maps）和线性权重（linear weights）的线性组合；

input image : $x \in \mathbb{R}^{d=c \times h \times w}$

c channels, height h , width w

CAM of x : $m \in \mathbb{R}^{k \times h \times w}$: k classes number

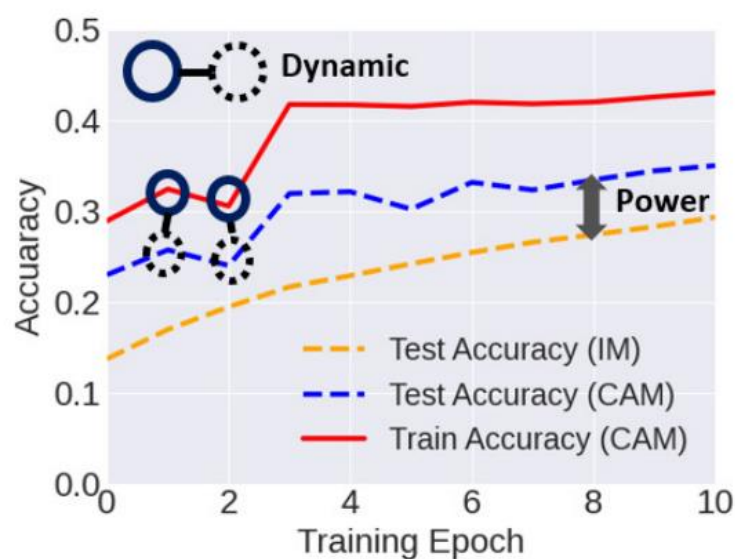
$$m^j = \sum_{i=1}^{C_f} (\theta^j)_i e^i(x), j \in \{1, \dots, k\},$$

Pilot experiment : CAM : Class Activation Maps

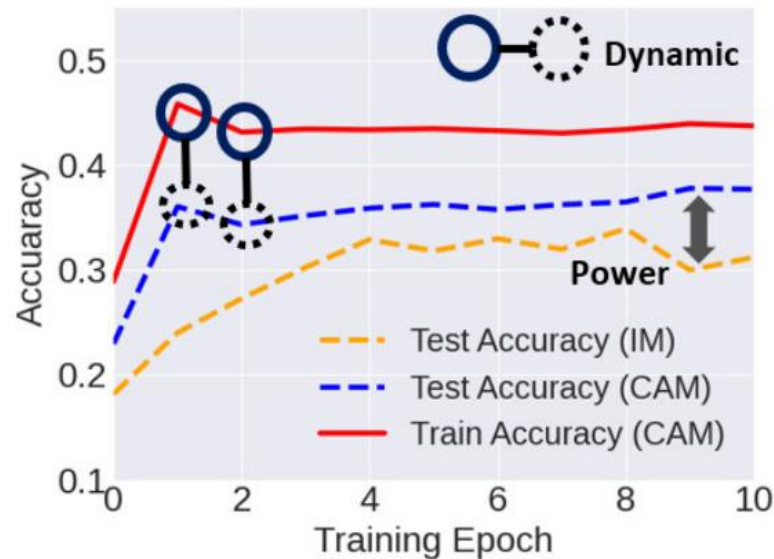
Grad-CAM 或 CAM 被视为 f 的内部表示, 这样的机制可以引导 f 从候选集中区分出真正的标记。

为了验证我们的猜想, 我们通过采用两种不同的标签选择方法进行了试点实验。

1. 直觉法 (IM : intuitive method) ; 2. **CAM label 选择策略**



(a) ResNet + USS



(b) DenseNet + FPS

- i. CAM可以潜在地引导分类器从候选集中找到真正的标签 -> **power** 属性
- ii. CAM识别真实标签的准确性与分类器的准确性同步变化 -> **dynamic** 属性

Grad-CAM : Gradient-weight CAM



为了提升任意的基于CNN的模型 f ，提出Grad-CAM 通过合理地利用特定类别梯度信息来实现这种内部表示

$\mathbf{x}$ 相对于第 j 个对象的Grad-CAM: $\mathbf{g}^j \in \mathbb{R}^{d=c \times h \times w}$

$$\mathbf{g}^j = \frac{1}{h \times w} \sum_{z=1}^{c_f} \sum_{m=1}^h \sum_{n=1}^w \frac{\partial f^j(\mathbf{x})}{\partial e^z(\mathbf{x})_{m,n}} e^z(\mathbf{x}). \quad (2)$$

在本文中，旨在从CAM中提取并改进有用的知识，以解决PLL问题，引导分类器识别真实标记。

CAV : Class Activation Value



动机： 为了克服CAM需要CNN这个缺陷；

目的： 指导 f 从候选标记集合中识别真正的标记；

受公式 (2) 中 **Grad-CAM** 的表述启发，将流向最后一个卷积层的梯度信息视为每个神经元对第 j 类的重要性，我们可以直观地推导出每个候选标签的重要性如下。

$$v^j = \left| \frac{\partial(-\log(\psi^j(f(\mathbf{x}))))}{\partial f^j(\mathbf{x})} \right| \psi^j(f(\mathbf{x})) = |\psi^j(f(\mathbf{x})) - 1| \psi^j(f(\mathbf{x})), \quad (3)$$

因为上面的式子有一个缺点： $\psi^j(f(\mathbf{x}))$ 相比 $f^j(\mathbf{x})$ 来说包含更少的信息，所以换成如下式子

$$v^j = |f^j(\mathbf{x}) - 1| f^j(\mathbf{x}). \quad (4)$$

CAVL : Class Activation Value Learning



Partial-Label Learning With Class Activation Value

怎么把CAV用在解决PLL问题上

训练的时候在第一个epoch里有一个小技巧:

$$\hat{\mathcal{R}}_1(\mathcal{L}, f) = \frac{1}{m} \sum_{i=1}^m \sum_{j \in S_i} \mathcal{L}(f(\mathbf{x}_i), s_j). \quad (6)$$

为了使分类器不受到来自候选集的假阳性标记的负面影响, 由CAV选出潜在的真实标记:

$$y'_i = \arg \max_{j \in S_i} v_i^j, \quad (7)$$

$$\hat{\mathcal{R}}_{\text{cavl}}(\mathcal{L}, f) = \frac{1}{m} \sum_{i=1}^m \mathcal{L}(f(\mathbf{x}_i), y'_i). \quad (8)$$

CAVL : Class Activation Value Learning

Partial-Label Learning With Class Activation Value

Algorithm 1 CAVL Algorithm

Input: Model f , epoch $T_{\max}$, iteration $Z_{\max}$, partial labeled training set $\mathbb{D} = \{(\mathbf{x}_i, S_i)\}_{i=1}^m$.

```
for  $t = 1, 2, \dots, T_{\max}$  do
  Shuffle  $\mathbb{D}$ ;
  for  $z = 1, 2, \dots, I_{\max}$  do
    Sample mini-batch  $\mathbb{D}_z = \{(\mathbf{x}_j, S_j)\}_{j=1}^u$  from  $\mathbb{D}$ ;
    if  $t = 1$  then
      Update  $f$  by minimizing the cross entropy loss function  $\hat{\mathcal{R}}_1$  in Eq. (6) with treating all the
      candidate labels from  $S_j$  equally;
    else
      Obtain the CAV  $v$  for each  $\mathbf{x}_j$  by Eq. (4);
      Generate  $y'_j$  for  $\mathbf{x}_j$  by selecting the maximum  $v$  from  $S_j$  by Eq. (7);
      Update  $f$  by minimizing the cross entropy loss function  $\hat{\mathcal{R}}_{\text{cavl}}$  in Eq. (8) with treating  $y'_j$ 
      as the sole true label for each  $\mathbf{x}_j$ ;
    end if
  end for
end for
```

Output: f .

Experiments



Table 1: Test performance of CAVL and other methods on benchmark datasets using data generation by USS. The best results among all methods with the same backbone are marked in **bold**.

Dataset (Backbones)	Method	Accuracy
MNIST (MLP/LeNet)	CC	$97.77 \pm 0.12\%$ / $98.77 \pm 0.06\%$
	RC	$98.05 \pm 0.15\%$ / $99.03 \pm 0.04\%$
	LW	$97.99 \pm 0.06\%$ / $98.74 \pm 0.05\%$
	PRODEN	$97.02 \pm 0.08\%$ / $98.81 \pm 0.04\%$
	CAVL	$98.06 \pm 0.05\%$ / $99.14 \pm 0.03\%$
Kuzushiji-MNIST (MLP/LeNet)	CC	$88.87 \pm 0.32\%$ / $93.83 \pm 0.20\%$
	RC	$89.36 \pm 0.30\%$ / $94.01 \pm 0.15\%$
	LW	$87.98 \pm 0.39\%$ / $91.52 \pm 0.65\%$
	PRODEN	$88.75 \pm 0.29\%$ / $93.94 \pm 0.18\%$
	CAVL	$88.45 \pm 0.22\%$ / $93.25 \pm 0.21\%$
Fashion-MNIST (MLP/LeNet)	CC	$87.90 \pm 0.27\%$ / $88.96 \pm 0.14\%$
	RC	$88.40 \pm 0.13\%$ / $89.51 \pm 0.11\%$
	LW	$88.16 \pm 0.12\%$ / $88.28 \pm 0.33\%$
	PRODEN	$88.82 \pm 0.15\%$ / $89.23 \pm 0.12\%$
	CAVL	$88.93 \pm 0.16\%$ / $89.99 \pm 0.10\%$
CIFAR-10 (ResNet/DenseNet)	CC	$75.74 \pm 0.19\%$ / $76.78 \pm 0.33\%$
	RC	$77.98 \pm 0.46\%$ / $78.56 \pm 0.37\%$
	LW	$76.82 \pm 0.21\%$ / $78.08 \pm 0.66\%$
	PRODEN	$77.62 \pm 0.34\%$ / $78.72 \pm 0.48\%$
	CAVL	$78.05 \pm 0.32\%$ / $79.10 \pm 0.25\%$

模型：MLP,
LeNet, ReNet,
DenseNet

方法：CC, RC,
LW, PRODEN,
CAVL

Experiments



Table 2: Test performance of CAVL and other methods on benchmark datasets using data generation by FPS. The best results among all methods with the same backbone are marked in **bold**.

Dataset (Backbones)	Method	q=0.3	q=0.5	q=0.7
MNIST (LeNet)	CC	98.87 $\pm$ 0.15%	98.49 $\pm$ 0.07%	98.17 $\pm$ 0.12%
	RC	98.88 $\pm$ 0.07%	98.53 $\pm$ 0.11%	98.12 $\pm$ 0.05%
	LW	98.53 $\pm$ 0.12%	98.68 $\pm$ 0.06%	97.35 $\pm$ 0.13%
	PRODEN	98.72 $\pm$ 0.13%	98.62 $\pm$ 0.09%	98.08 $\pm$ 0.04%
	CAVL	98.90 $\pm$ 0.12%	98.71 $\pm$ 0.04%	98.20 $\pm$ 0.11%
Kuzushiji-MNIST (LeNet)	CC	93.11 $\pm$ 0.08%	90.87 $\pm$ 0.06%	89.98 $\pm$ 0.14%
	RC	93.21 $\pm$ 0.17%	91.19 $\pm$ 0.22%	90.15 $\pm$ 0.04%
	LW	92.65 $\pm$ 0.18%	91.28 $\pm$ 0.16%	90.55 $\pm$ 0.17%
	PRODEN	93.51 $\pm$ 0.20%	91.23 $\pm$ 0.17%	90.07 $\pm$ 0.08%
	CAVL	93.82 $\pm$ 0.21%	91.57 $\pm$ 0.11%	86.05 $\pm$ 0.25%
Fashion-MNIST (LeNet)	CC	89.41 $\pm$ 0.17%	88.72 $\pm$ 0.06%	85.87 $\pm$ 0.25%
	RC	89.53 $\pm$ 0.07%	88.84 $\pm$ 0.14%	85.41 $\pm$ 0.18%
	LW	89.19 $\pm$ 0.08%	87.19 $\pm$ 0.23%	85.92 $\pm$ 0.13%
	PRODEN	89.63 $\pm$ 0.05%	88.78 $\pm$ 0.15%	85.81 $\pm$ 0.16%
	CAVL	89.77 $\pm$ 0.04%	88.92 $\pm$ 0.11%	86.25 $\pm$ 0.18%
CIFAR-10 (DenseNet)	CC	77.32 $\pm$ 0.14%	76.42 $\pm$ 0.13%	66.17 $\pm$ 0.25%
	RC	78.14 $\pm$ 0.12%	77.42 $\pm$ 0.16%	70.21 $\pm$ 0.15%
	LW	80.95 $\pm$ 0.17%	78.72 $\pm$ 0.17%	71.26 $\pm$ 0.16%
	PRODEN	79.05 $\pm$ 0.11%	77.52 $\pm$ 0.18%	70.35 $\pm$ 0.18%
	CAVL	81.58 $\pm$ 0.22%	79.69 $\pm$ 0.17%	65.86 $\pm$ 0.21%

其中q是翻转概率

模型： LeNet,
DenseNet

方法： CC, RC, LW,
PRODEN

重复 5 次实验，记
录了平均准确率和
标准偏差

Table 3: Test performance of CAVL and other methods using linear model on real-world datasets. The best and second best results among all methods are marked in **bold** and underline.

Method	Real-world datasets				
	Lost	MSRCv2	Birdsong	SoccerPlayer	YahooNews
IPAL	$71.16 \pm 2.56\%$	$50.64 \pm 3.85\%$	$70.32 \pm 4.85\%$	$55.42 \pm 0.92\%$	$66.43 \pm 1.32\%$
CLPL	$75.01 \pm 4.39\%$	$36.72 \pm 4.61\%$	$64.35 \pm 1.28\%$	$37.01 \pm 1.02\%$	$45.21 \pm 0.82\%$
PLSVM	$48.91 \pm 3.33\%$	$35.95 \pm 3.96\%$	$48.99 \pm 1.98\%$	$45.90 \pm 0.98\%$	$57.02 \pm 1.02\%$
PLKNN	$37.73 \pm 2.85\%$	$41.28 \pm 2.25\%$	$64.32 \pm 1.05\%$	$48.21 \pm 1.21\%$	$40.67 \pm 1.58\%$
PLECOC	$50.95 \pm 7.81\%$	$43.25 \pm 3.61\%$	$70.51 \pm 4.31\%$	$55.29 \pm 1.95\%$	$61.23 \pm 1.52\%$
SURE	$75.56 \pm 5.62\%$	$46.72 \pm 4.21\%$	$55.42 \pm 1.52\%$	$48.61 \pm 0.84\%$	$55.17 \pm 1.05\%$
LW	$79.74 \pm 3.81\%$	$45.65 \pm 3.12\%$	$72.01 \pm 2.31\%$	<u>$57.12 \pm 3.25\%$</u>	$67.94 \pm 1.64\%$
RC	<u>$80.45 \pm 3.85\%$</u>	$46.85 \pm 2.52\%$	$72.15 \pm 2.14\%$	$57.05 \pm 2.15\%$	<u>$68.12 \pm 0.67\%$</u>
CC	$73.78 \pm 4.34\%$	$45.13 \pm 2.67\%$	$71.86 \pm 1.34\%$	$56.54 \pm 0.74\%$	$67.00 \pm 0.35\%$
PRODEN	$80.12 \pm 4.52\%$	$45.32 \pm 2.38\%$	$71.90 \pm 2.34\%$	$56.12 \pm 3.12\%$	$67.92 \pm 0.48\%$
CAVL	$82.07 \pm 3.18\%$	<u>$48.39 \pm 2.12\%$</u>	<u>$71.92 \pm 0.73\%$</u>	$57.32 \pm 2.24\%$	$68.89 \pm 0.55\%$

CAM无法在BirdSong这样缺乏空间信息的词嵌入输入中被提取出来。

Experiments

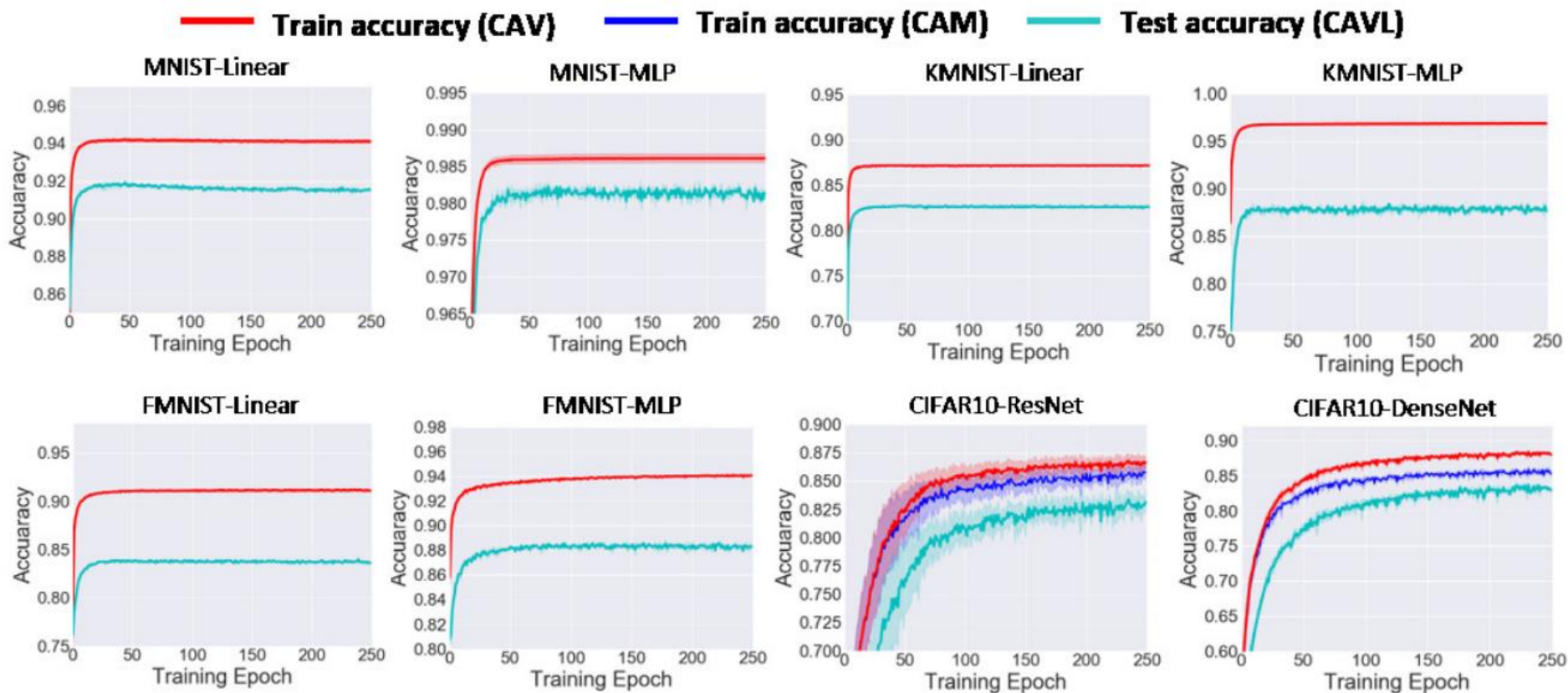


Figure 2: Study of CAV attributes on various backbones and datasets. Note that here CAM could only be obtained in deep CNN architectures, namely ResNet and DenseNet.

Experiments



Table 7: The performance of our CAVL with different settings of the starting epoch.

Starting Epoch	Dataset (Backbones)			
	MNIST (LeNet)	KMNIST (LeNet)	FMNIST (LeNet)	CIFAR-10 (ResNet)
1	99.14 $\pm$ 0.03%	93.25 $\pm$ 0.21%	89.99 $\pm$ 0.10%	78.05 $\pm$ 0.32%
10	97.82 $\pm$ 0.04%	92.84 $\pm$ 0.21%	88.75 $\pm$ 0.14%	75.27 $\pm$ 0.42%
50	97.25 $\pm$ 0.03%	91.23 $\pm$ 0.25%	87.98 $\pm$ 0.15%	72.17 $\pm$ 0.31%
100	96.44 $\pm$ 0.07%	89.66 $\pm$ 0.42%	86.92 $\pm$ 0.16%	71.55 $\pm$ 0.18%
150	96.38 $\pm$ 0.07%	88.54 $\pm$ 0.26%	86.07 $\pm$ 0.34%	69.87 $\pm$ 0.12%

起始epoch之前用IM，后面使用CAV训练；

总结：CAVL的有效性会随着起始历时的延长而减弱。



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THANKS
