



Learning with Biased Complementary Labels

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Introduction

Sometimes, precisely labeling an example is costly...

True Label

Meerkat



Prairie Dog



Not dog

Monkey



Labeling the image requires:

- expert knowledge
- time-consuming work

...

Compared to "which class it belongs"

it is much more easy to see "which class it does not belong"

Review: Learning from Complementary Label

□ Problem Formulation

$x \longleftrightarrow \cancel{y} \quad \bar{y} \quad \{(\mathbf{x}_i, \bar{y}_i)\}_{i=1}^n \xrightarrow{?}$ learn a multi-class classifier

□ A basic assumption $\bar{p}(\mathbf{x}, \bar{y}) = \frac{1}{K-1} \sum_{y \neq \bar{y}} p(\mathbf{x}, y)$?

All $p(\mathbf{x}, y)$ for $y \neq \bar{y}$ equally contribute to $\bar{p}(\mathbf{x}, \bar{y})$

$$\begin{aligned} \bar{\eta}_k(x) &:= \mathbb{P}(\bar{Y} = k | X = x) \\ \eta_k(x) &:= \mathbb{P}(Y = k | X = x) \end{aligned} \quad \longrightarrow \quad \bar{\eta}(x) = T \eta(x)$$

$T =$

0	1/2	1/2
1/2	0	1/2
1/2	1/2	0

□ The unbiased estimate: $R(f) = (K-1) \mathbb{E}_{\bar{p}(\mathbf{x}, \bar{y})} [\bar{\mathcal{L}}(f(\mathbf{x}), \bar{y})] - M_1 + M_2$

Motivation

Revisiting the example...

Prairie Dog

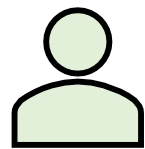


Not dog



Labeler A

Not cat



Labeler B

$$P(\bar{Y} = i | Y = j) \neq 1/(c-1) \\ i \neq j$$

Labelers provide complementary labels based on both the **observation** and their **experience**.



Biasedness

How to capture such **biasedness**?

$$P(\bar{Y} = i | Y = j)$$

Method

$$P(Y|X) \quad ?$$

$$P(\bar{Y} = i | Y = j)$$

$$P(\bar{Y} | X)$$

Unknown but can
be estimated

$$\begin{aligned} P(\bar{Y} = j | X) &= \sum_{i \neq j} P(\bar{Y} = j, Y = i | X) \\ \mathbf{q}(X) &= \sum_{i \neq j} P(\bar{Y} = j | Y = i, X) P(Y = i | X) \\ &= \sum_{i \neq j} P(\bar{Y} = j | Y = i) P(Y = i | X) \end{aligned}$$

Assumption:

$$\begin{aligned} P(\bar{Y} = \bar{y} | X = \mathbf{x}, Y = y) \\ = P(\bar{Y} = \bar{y} | Y = y) \end{aligned}$$

$$Q_{ij} = P(\bar{Y} = j | Y = i) \quad \text{matrix } \mathbf{Q} \quad \mathbf{g}(X)$$



$$\mathbf{q}(X) = \mathbf{Q}^\top \mathbf{g}(X)$$

$$\bar{\ell}(f(X), \bar{Y}) = \ell(\mathbf{q}(X), \bar{Y})$$

Prairie Dog

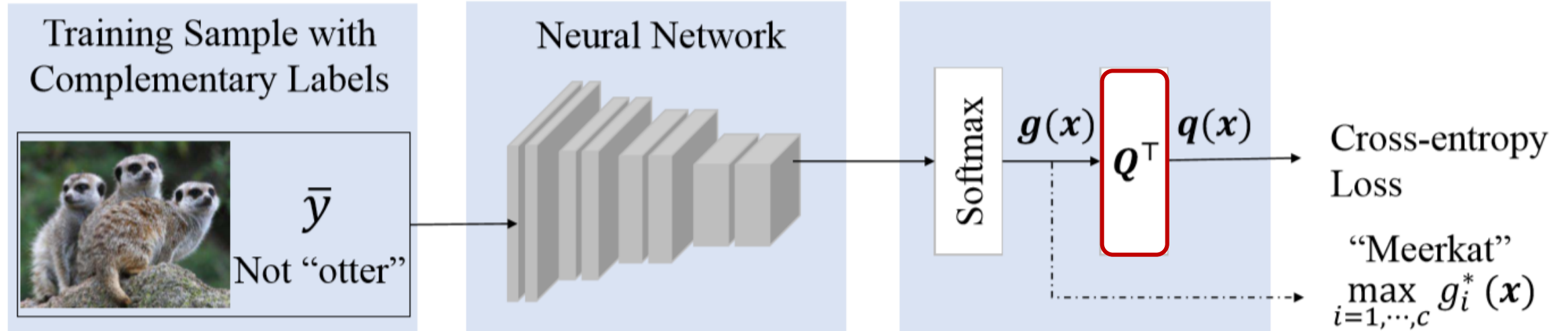


Not dog

Method

$$\mathbf{q}(X) = \mathbf{Q}^\top \mathbf{g}(X) \quad \rightarrow \quad \mathbf{Q}^{-\top} \mathbf{q}$$

Learning with DNN



$$\mathbf{q}^* \quad \rightarrow \quad q_i^*(X) = P(\bar{Y} = i | X), \forall i \in [c] \quad \rightarrow \quad \mathbf{g}^* \text{ and } f^*$$

Method

Estimate Q

$$P(\bar{Y} = \bar{y}|X) = \sum_{y' \neq \bar{y}} P(\bar{Y} = \bar{y}|Y = y')P(Y = y'|X)$$

Assumption 2 (Anchor Set Condition) For each class y , there exists an anchor set $\mathcal{S}_{\mathbf{x}|y} \subset \mathcal{X}$ such that $P(Y = y|X = \mathbf{x}) = 1$ and $P(Y = y'|X = \mathbf{x}) = 0$, $\forall y' \in \mathcal{Y} \setminus \{y\}, \mathbf{x} \in \mathcal{S}_{\mathbf{x}|y}$.

Find some $\mathbf{x} \in \mathcal{S}_{\mathbf{x}|y}$ $P(Y = y|X = \mathbf{x}) = 1$ $P(Y = y'|X = \mathbf{x}) = 0$



$$P(\bar{Y} = \bar{y}|X = \mathbf{x}) = P(\bar{Y} = \bar{y}|Y = y)$$

Theoretical Analysis

Optimality of the classifier

Assumption 1 *By minimizing the expected risk $R(f)$, the optimal mapping \mathbf{g}^* satisfies $g_i^*(X) = P(Y = i|X), \forall i \in [c]$.*

Theorem 1 *Suppose that \mathbf{Q} is invertible and Assumption 1 is satisfied, then the minimizer \bar{f}^* of $\bar{R}(f)$ is also the minimizer f^* of $R(f)$; that is, $\bar{f}^* = f^*$.*

$$\bar{f}^* = \arg \min_{f \in \mathcal{F}} \bar{R}(f) \quad \mathbf{q}(X) = \mathbf{Q}^\top \mathbf{g}(X)$$

Convergence analysis

Corollary 1 *Suppose $\bar{\pi}_i = P(\bar{Y} = i)$ is given. Let the loss function be upper bounded by M . Then, for any $\delta > 0$, with the probability $1 - c\delta$, we have*

$$\bar{R}(\bar{f}_n) - \bar{R}(\bar{f}^*) \leq \sum_{i=1}^c \left(4c\bar{\pi}_i \mathfrak{R}_{n_i}(\mathcal{H}) + 2\bar{\pi}_i M \sqrt{\frac{\log 1/\delta}{2n_i}} \right). \quad (13)$$
$$\bar{f}_n = \arg \min_{f \in \mathcal{F}} \bar{R}_n(f)$$

Experiments

Table 1. Classification accuracy on USPS and UCI datasets: the means and standard deviations of classification accuracy over 20 trials in percentages are reported. “#train” is the number of training and validation examples in each class. “#test” is the number of test examples in each class.

Dataset	c	d	#train	#test	PC/S	PL	ML	LM (ours)
WAVEFORM1	1 ~ 3	21	1226	398	85.8 (0.5)	85.7 (0.9)	79.3 (4.8)	85.1 (0.6)
WAVEFORM2	1 ~ 3	40	1227	408	84.7 (1.3)	84.6 (0.8)	74.9 (5.2)	85.5 (1.1)
SATIMAGE	1 ~ 7	36	415	211	68.7 (5.4)	60.7 (3.7)	33.6 (6.2)	69.3 (3.6)
PENDIGITS	1 ~ 5	16	719	336	87.0 (2.9)	76.2 (3.3)	44.7 (9.6)	92.7 (3.7)
	6 ~ 10		719	335	78.4 (4.6)	71.1 (3.3)	38.4 (9.6)	85.8 (1.3)
	even #		719	336	90.8 (2.4)	76.8 (1.6)	43.8 (5.1)	90.0 (1.0)
	odd #		719	335	76.0 (5.4)	67.4 (2.6)	40.2 (8.0)	86.5 (0.5)
	1 ~ 10		719	335	38.0 (4.3)	33.2 (3.8)	16.1 (4.6)	62.8 (5.6)
DRIVE	1 ~ 5	48	3955	1326	89.1 (4.0)	77.7 (1.5)	31.1 (3.5)	93.3 (4.6)
	6 ~ 10		3923	1313	88.8 (1.8)	78.5 (2.6)	30.4 (7.2)	92.8 (0.9)
	even #		3925	1283	81.8 (3.4)	63.9 (1.8)	29.7 (6.3)	84.3 (0.7)
	odd #		3939	1278	85.4 (4.2)	74.9 (3.2)	27.6 (5.8)	85.9 (2.1)
	1 ~ 10		3925	1269	40.8 (4.3)	32.0 (4.1)	12.7 (3.1)	75.1 (3.2)
LETTER	1 ~ 5	16	565	171	79.7 (5.4)	75.1 (4.4)	28.3 (10.4)	84.3 (1.5)
	6 ~ 10		550	178	76.2 (6.2)	66.8 (2.5)	34.0 (6.9)	84.4 (1.0)
	11 ~ 15		556	177	78.3 (4.1)	67.4 (3.4)	28.6 (5.0)	88.3 (1.9)
	16 ~ 20		550	184	77.2 (3.2)	68.4 (2.1)	32.7 (6.4)	85.2 (0.7)
	21 ~ 25		585	167	80.4 (4.2)	75.1 (1.9)	32.0 (5.7)	82.5 (1.0)
	1 ~ 25		550	167	5.1 (2.1)	5.0 (1.0)	5.2 (1.1)	7.0 (3.6)
USPS	1 ~ 5	256	652	166	79.1 (3.1)	70.3 (3.2)	44.4 (8.9)	86.4 (4.5)
	6 ~ 10		542	147	69.5 (6.5)	66.1 (2.4)	37.3 (8.8)	88.1 (2.7)
	even #		556	147	67.4 (5.4)	66.2 (2.3)	35.7 (6.6)	79.5 (5.4)
	odd #		542	147	77.5 (4.5)	69.3 (3.1)	36.6 (7.5)	86.3 (3.1)
	1 ~ 10		542	127	30.7 (4.4)	26.0 (3.5)	13.3 (5.4)	37.2 (5.4)

Experiments

Table 2. Classification accuracy on MNIST: the means and standard deviations of classification accuracy over five trials in percentages are reported. “TL” denotes the result of learning with true labels. “LM/T” and “LM/E” refer to our method with the true \mathbf{Q} and the estimated one, respectively.

Method	Uniform	Without0	With0
TL	99.12	99.12	99.12
PC/S	86.59 ± 3.99	76.03 ± 3.34	29.12 ± 1.94
LM/T	97.18 ± 0.45	97.65 ± 0.15	98.63 ± 0.05
LM/E	96.33 ± 0.31	97.04 ± 0.31	98.61 ± 0.05

Table 3. Classification accuracy on CIFAR10: the means and standard deviations of classification accuracy over five trials in percentages are reported. “TL” denotes the result of learning with true labels. “LM/T” and “LM/E” refer to our method with the true \mathbf{Q} and the estimated one, respectively.

Method	Uniform	Without0	With0
TL	90.78	90.78	90.78
PC/S	41.19 ± 0.04	42.97 ± 3.00	18.12 ± 1.45
LM/T	73.38 ± 1.06	78.80 ± 0.45	85.32 ± 1.11
LM/E	42.96 ± 0.76	70.56 ± 0.34	84.60 ± 0.14

Thanks
