### Consistency-based Semi-supervised Active Learning: Towards Minimizing Labeling Cost

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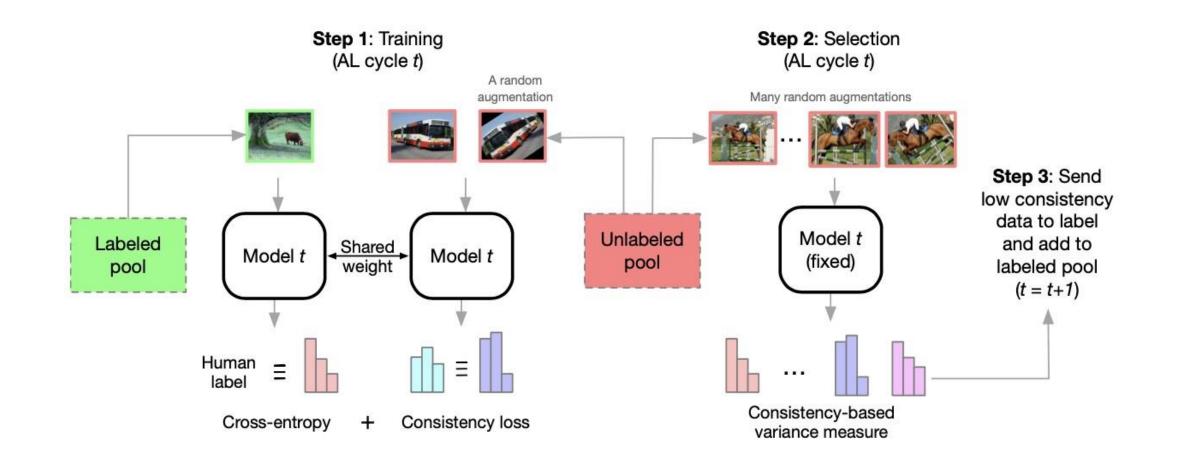
### Motivation

**SSL:**Many recent approaches for SSL add a loss term which is computed on unlabeled data and encourages the model to generalize better to unseen data.

**Consistency-based SSL:** model is consistent in its decisions between a sample and its meaningfully distorted versions.

An AL selection strategy: a sample with its distorted versions that yields low consistency in predictions indicates that: the SSL model may be incapable of distilling useful information from that unlabeled sample – the sample need to be labeled.

### Consistency-based Semi-AL



### **Consistency-based Semi-AL**

Algorithm 1 A semi-supervised learning based AL framework

**Require:** Unlabeled data pool  $\mathcal{D}$ , the total number of steps T, selected sample batch set B, AL batch size K, start size  $K_0 \ll |\mathcal{D}|$  $B_0 \leftarrow$  uniformly sampling from  $\mathcal{D}$  with  $|B_0| = K_0$  $U_0 \leftarrow \mathcal{D} \setminus B_0$  $L_0 \leftarrow \{(x, \mathcal{J}(x)) : x \in B_0\}$ , where  $\mathcal{J}(x)$  stands for the assigned label of x. for  $t = 0, \ldots, T - 1$  do (training)  $M_t \leftarrow \arg\min_M \left\{ \frac{1}{|L_t|} \sum_{(x,y) \in L_t} \mathcal{L}_l(x, y, M) + \frac{1}{|U_t|} \sum_{x \in U_t} \mathcal{L}_u(x, M) \right\}$ (selection)  $B_{t+1} \leftarrow \arg\max_{B \subset U_t} \{\mathcal{C}(B, M_t), s.t. |B| = K\}$ (labeling)  $L_{t+1} \leftarrow L_t \cup \{(x, \mathcal{J}(x)) : x \in B_{t+1}\}$ (pool update)  $U_{t+1} \leftarrow U_t \setminus B_{t+1}$ end for  $M_T \leftarrow \arg\min_M \left\{ \frac{1}{|L_T|} \sum_{(x,y) \in L_T} \mathcal{L}_l(x, y, M) + \frac{1}{|U_T|} \sum_{x \in U_T} \mathcal{L}_u(x, M) \right\}$ return  $M_T$ 

$$\mathcal{L}_{u}(x,M) = D(P(\hat{Y} = \ell | x, M), P(\hat{Y} = \ell | \tilde{x}, M)), \quad \text{D: L2 norm}$$
$$\mathcal{C}(B,M) = \sum_{x \in B} \mathcal{E}(x,M)$$
$$\mathcal{E}(x,M) = \sum_{\ell=1}^{J} \operatorname{Var} \left[ P(\hat{Y} = \ell | x, M), P(\hat{Y} = \ell | \tilde{x}_{1}, M), ..., P(\hat{Y} = \ell | \tilde{x}_{N}, M) \right],$$

## Experiment

#### Comparison with selection baselines under SSL

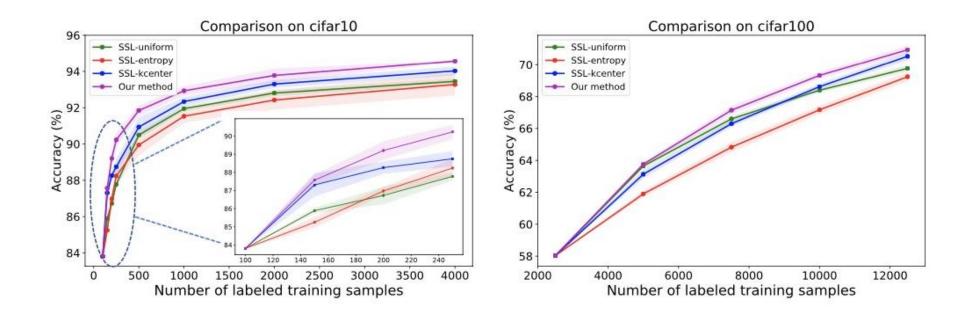


Fig. 2. Model performance comparison with different sample selection methods on CIFAR-10 and CIFAR-100. Solid lines indicate the averaged results over 5 trials. Shadows represent standard deviation

## Experiment

#### Comparison with supervised AL methods

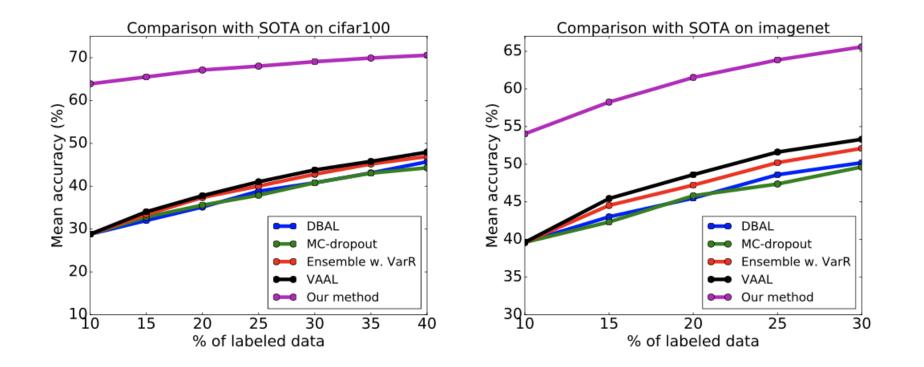


Fig. 3. Comparison with recent AL methods on CIFAR-100 and ImageNet. Our results on CIFAR-100 and ImageNet are averaged over 5 and 3 trials, respectively

## Analysis

#### Uncertainty and overconfident mis-classification

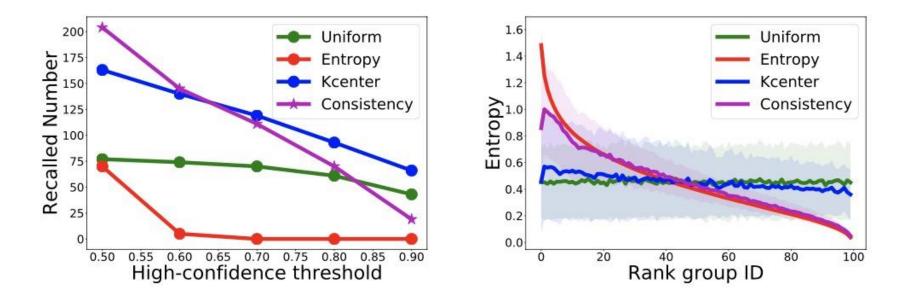


Fig. 4. Left: Number of overconfident mis-classified samples in top 1% samples ranked by different methods. Overconfident samples are defined as those having the highest class probability larger than threshold. Right: the average entropy of unlabeled samples ranked by different selection metrics. The ranked samples are divided into 100 groups for computing average entropy. Shadows represent standard deviation

### Analysis

#### Smaple Diversity

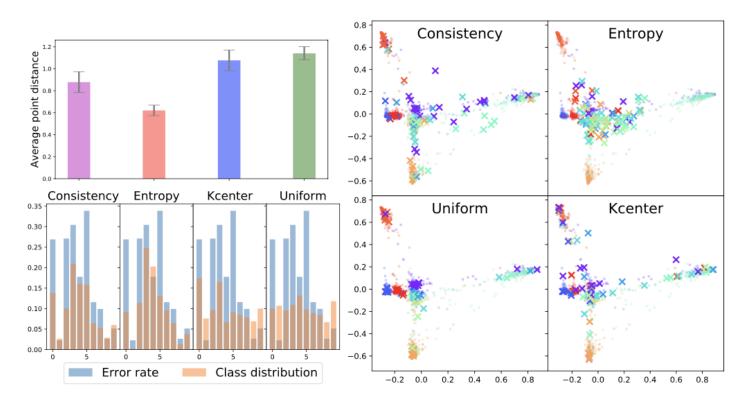


Fig. 5. Average distance between samples (top-left): the average pair-wise  $L_2$  distance of top 1% unlabeled samples ranked by different selection metrics. Per-class error rate vs. the class distribution of the selected samples are shown in bottom-left. Diversity visualization (right): Dots and crosses indicate unlabeled (un-selected) samples and the selected samples (top 100), respectively. Each color represent a ground truth class

# Thanks