

# Bayesian Generative Active Deep Learning

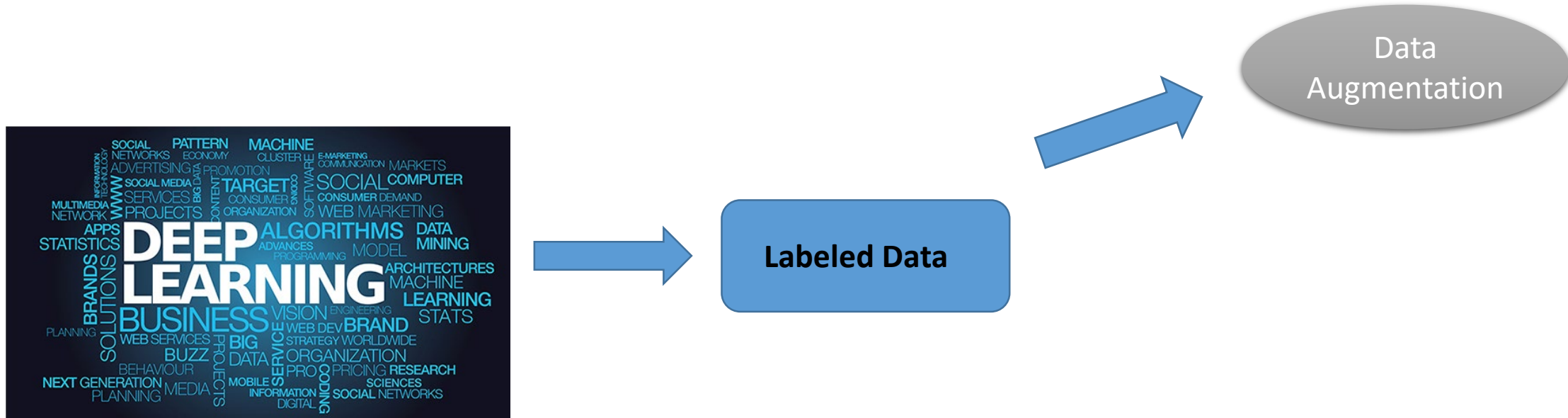
Toan Tran , Thanh-Toan Do , Ian Reid , Gustavo Carneiro  
ICML (2019)

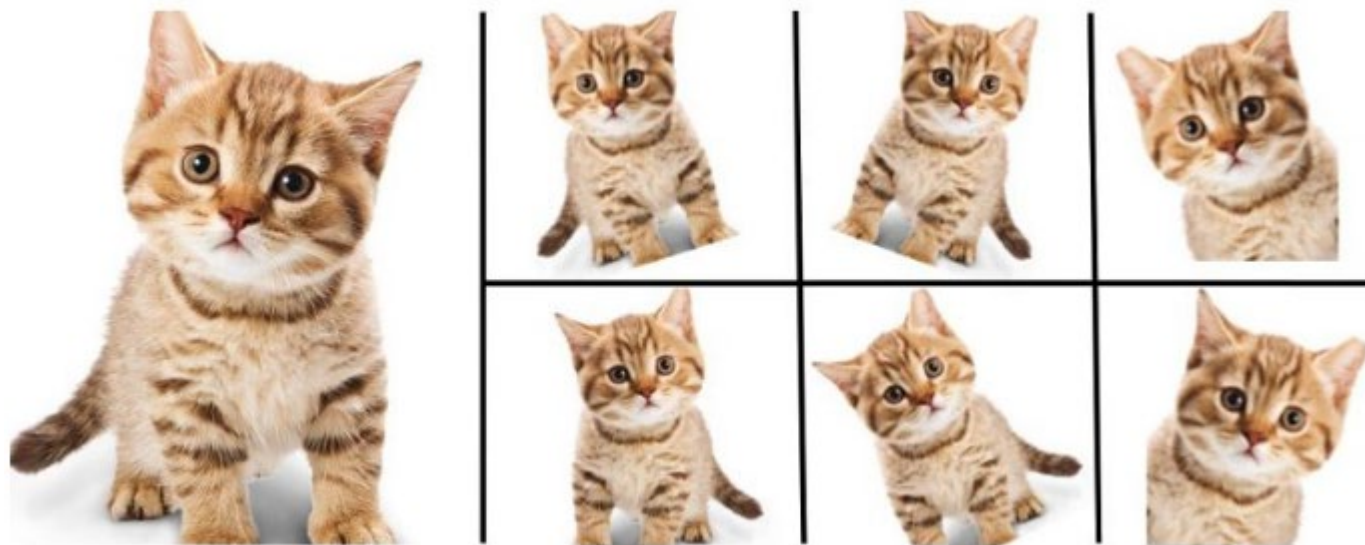
2019.12.11

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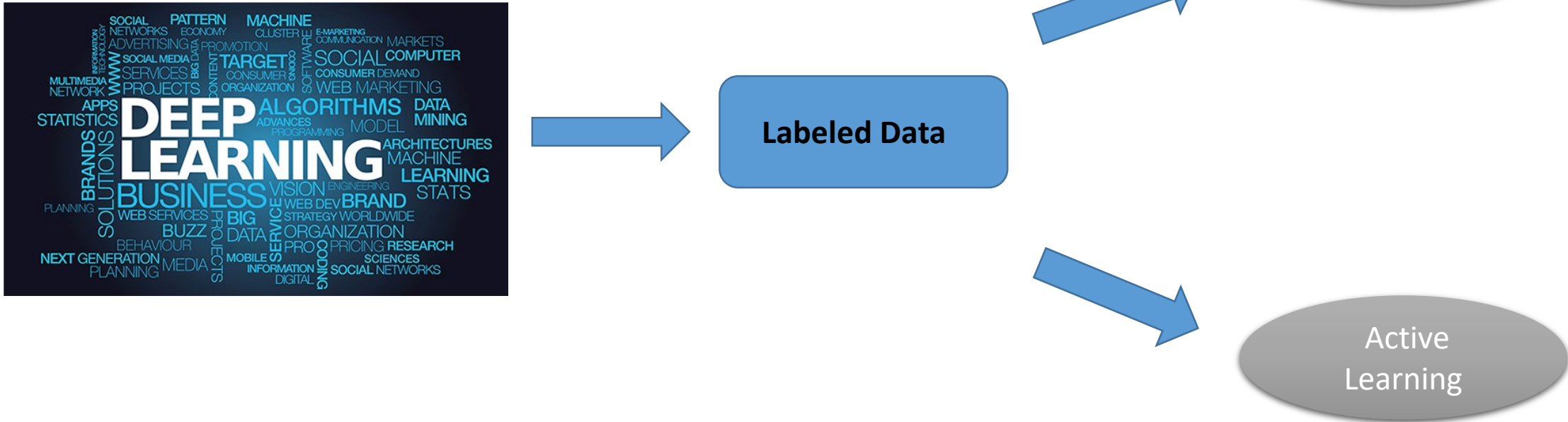
# Introduction



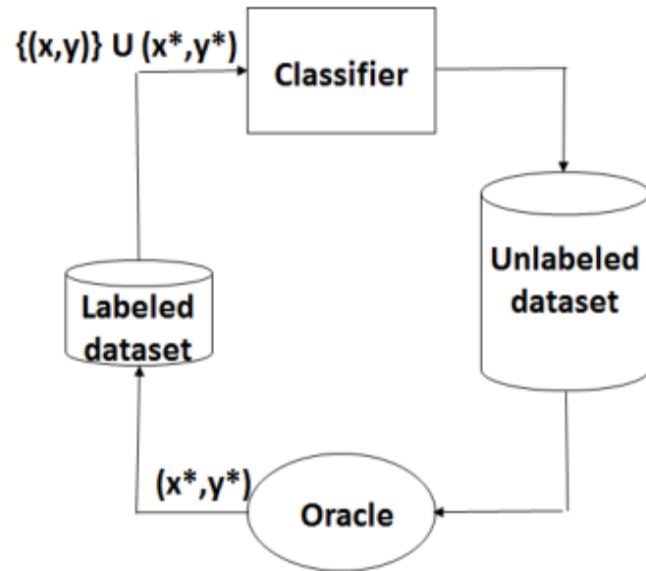


winter Yosemite → summer Yosemite

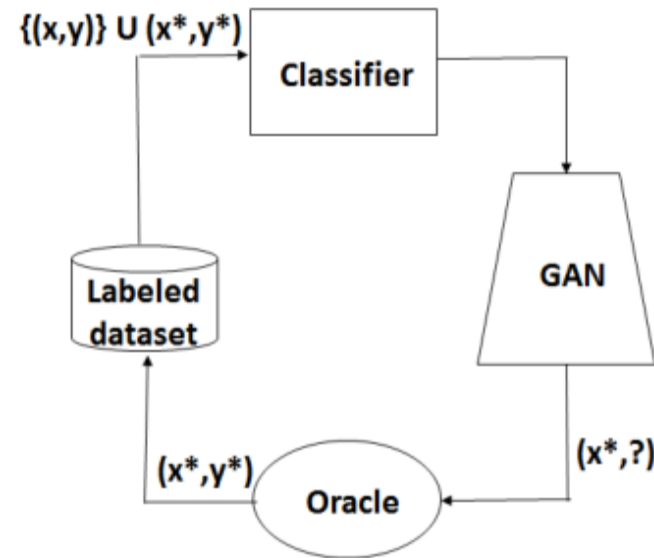
# Introduction



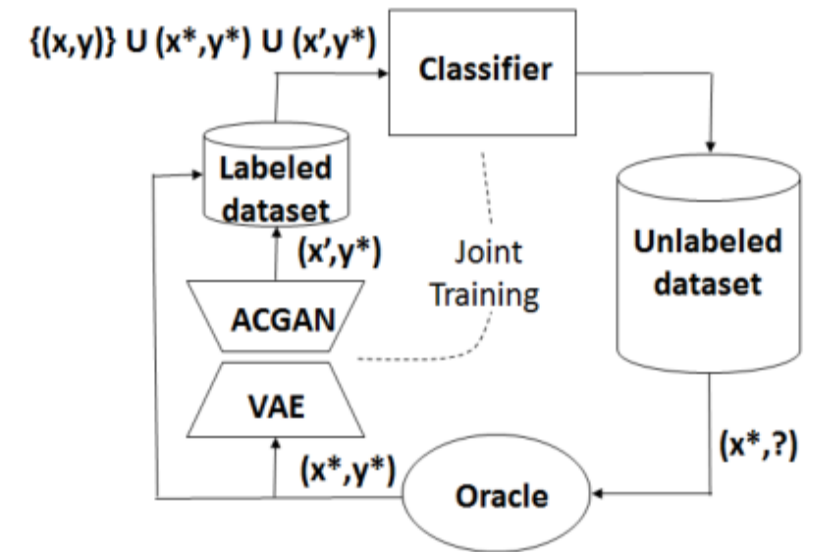
In this paper, we propose a Bayesian generative active deep learning approach that combines active learning with data augmentation.



a) Pool-based Active Learning



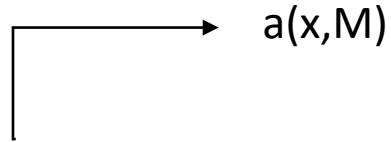
b) Generative Adversarial Active Learning



c) Bayesian Generative Active Deep Learning

## 2. Related Work

Bayesian Active Learning



$a(x,M)$

**Acquisition function**, which is maximized in order to select the most informative samples.

Bayesian active learning by disagreement (BALD) scheme

Monte Carlo (MC) dropout method

## Data Augmentation

### 1) Method

1.Data augmentation can be performed with “label-preserving” transformations



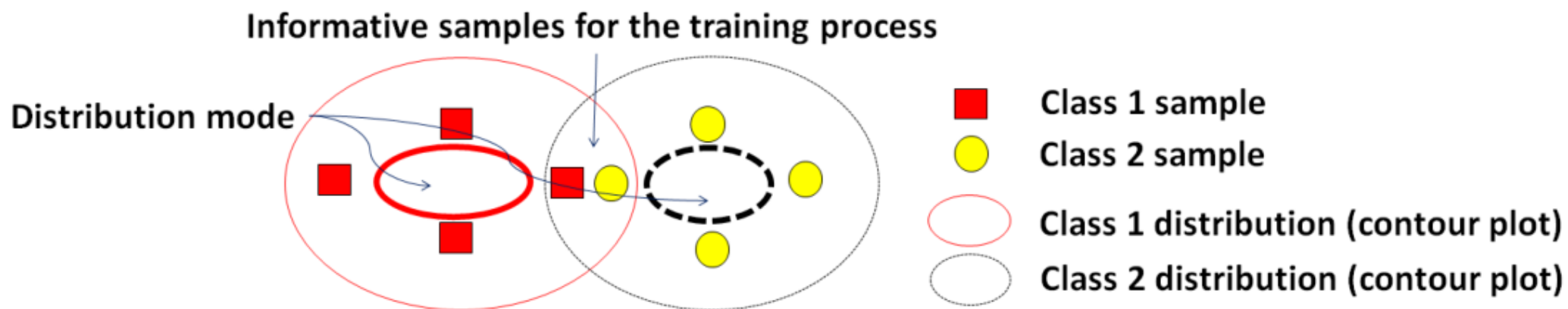
“poor’ s man” data augmentation (PMDA)

2.Bayesian data augmentation (BDA) trains a deep generative model (using the training set), which is then used to produce new artificial training samples.

✓ better

### 2) Drawback

informative ?



*Figure 2.* We target the generation of samples that belong to the generative distribution learned from the training set, and that are also informative for the training process. In particular, we aim to generate synthetic samples belonging to the intersection of different class distributions known as “disagreement region” (Settles, 2012). These generated instances are informative for the training process since the learning model is uncertain about them (Houlsby et al., 2011).

## Generative Active Learning

Zhu & Bento (2017) introduced a generative adversarial active learning (GAAL) model to produce new synthetic samples that are informative for the current model.

### Advantage

it can generate rich representative training data

### Limitations

- 1.the acquisition function must be simple to compute and optimize
- 2.Model is not fine-tuned; not “co-evolve”.

## Variational Autoencoder Generative Adversarial Networks

ACGAN                      to improve the quality of the GAN generated images

VAE                      tackle the low diversity problem (known as “mode collapse” )

# Model

## 1. Bayesian Active Learning by Disagreement (BALD)

$$\begin{aligned}\mathbf{x}^* &= \arg \max_{\mathbf{x} \in \mathcal{D}_{\text{pool}}} a(\mathbf{x}, \mathcal{M}) \\ &= \arg \max_{\mathbf{x} \in \mathcal{D}_{\text{pool}}} H[\mathbf{y}|\mathbf{x}, \mathcal{D}] - \mathbb{E}_{\theta \sim p(\theta|\mathcal{D})} [H[\mathbf{y}|\mathbf{x}, \theta]], \quad (1)\end{aligned}$$

$p(\theta|\mathcal{D})$  :  
an estimate of the posterior  
of the parameters  $\theta$  of the  
model  $\mathcal{M}$  given  $\mathcal{D}$ .

the prediction  $p(\mathbf{y}|\mathbf{x}, \mathcal{D})$   
the distribution  $p(\mathbf{y}|\mathbf{x}, \theta)$

the labeled data set is updated for the next training iteration:  $\mathcal{D} \leftarrow \mathcal{D} \cup (\mathbf{x}^*, \mathbf{y}^*)$ .

## 2. MonteCarlo(MC)dropoutmethod

$$\begin{aligned}a(\mathbf{x}, \mathcal{M}) \approx & - \sum_c \left( \frac{1}{T} \sum_t \hat{p}_c^t \right) \log \left( \frac{1}{T} \sum_t \hat{p}_c^t \right) \\ & + \frac{1}{T} \sum_{c,t} \hat{p}_c^t \log \hat{p}_c^t, \quad (2)\end{aligned}$$

where  $T$  is the number of dropout iterations,  $\hat{\mathbf{p}}^t = [\hat{p}_1^t, \dots, \hat{p}_C^t] = \text{softmax}(f(\mathbf{x}; \theta^t))$ , with  $f$  representing the network function parameterized by  $\theta^t$  that is sampled from an estimate of the (commonly intractable) posterior  $p(\theta|\mathcal{D})$  at the  $t$ -th iteration.

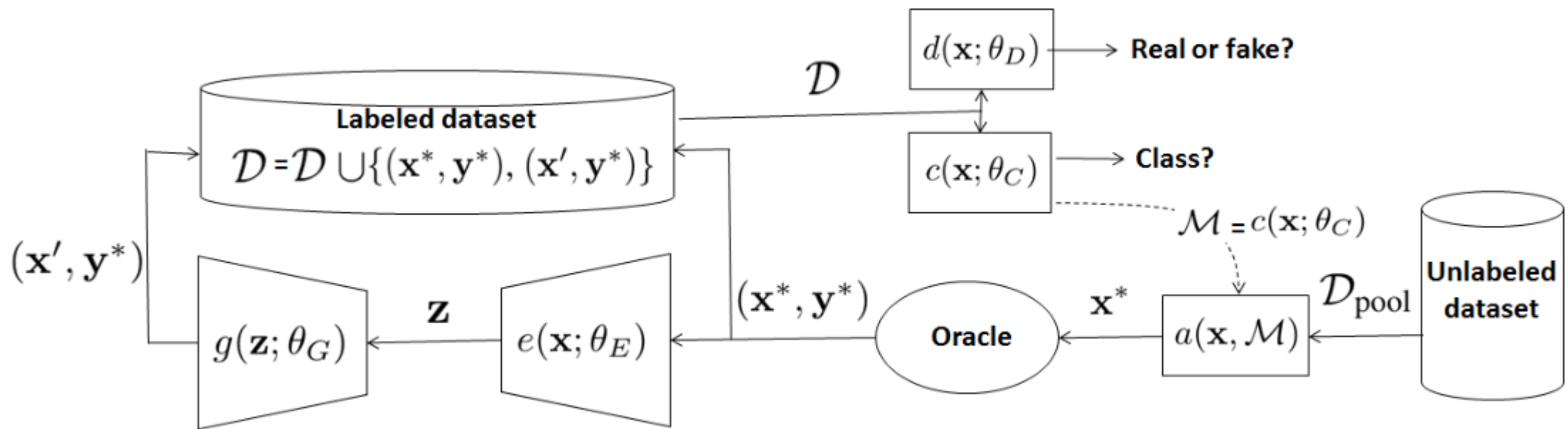


Figure 3. Network architecture of our proposed model.

The VAE-GAN loss function:

$$\mathcal{L} = \mathcal{L}_{\text{VAE}} + \mathcal{L}_{\text{ACGAN}},$$

$$\begin{aligned} \mathcal{L}_{\text{VAE}} &= \mathcal{L}_{\text{rec}} + \mathcal{L}_{\text{prior}} \\ &= \mathcal{L}_{\text{rec}}(\mathbf{x}, g(e(\mathbf{x}; \theta_E); \theta_G) + D_{\text{KL}}(q(\mathbf{z}|\mathbf{x})\|p(\mathbf{z})), \end{aligned} \quad (8)$$

$$\begin{aligned}
\mathcal{L}_{\text{ACGAN}} = & \log(d(\mathbf{x}; \theta_D)) + \log(1 - d(g(\mathbf{z}; \theta_G); \theta_D)) \\
& + \log(1 - d(g(\mathbf{u}; \theta_G); \theta_D)) + \log(\text{softmax}(c(\mathbf{x}; \theta_C))) \\
& + \log(\text{softmax}(c(g(\mathbf{z}; \theta_G); \theta_C))) \\
& + \log(\text{softmax}(c(g(\mathbf{u}; \theta_G); \theta_C))), \tag{9}
\end{aligned}$$

$$\mathbf{u} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$$

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**Algorithm 1** Bayesian Generative Active Learning

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Initialize network parameters  $\theta_E, \theta_G, \theta_C, \theta_D$ , and pre-train the classifier  $c(\mathbf{x}; \theta_C)$  with  $\mathcal{D}$

**repeat**

Pick the most informative  $\mathbf{x}^*$  from  $\mathcal{D}_{\text{pool}}$  with  $\mathbf{x}^* = \arg \max_{\mathbf{x} \in \mathcal{D}_{\text{pool}}} a(\mathbf{x}, \mathcal{M})$  in (1) and (2), where  $\mathcal{M}$  is represented by the classifier  $c(\mathbf{x}; \theta_C)$ ;

Request the oracle to label the selected sample, which forms  $(\mathbf{x}^*, \mathbf{y}^*)$

$\mathbf{z} \leftarrow e(\mathbf{x}^*; \theta_E)$

$\mathcal{L}_{\text{prior}} \leftarrow D_{\text{KL}}(q(\mathbf{z}|\mathbf{x}^*)||p(\mathbf{z}))$

$\mathbf{x}' = g(e(\mathbf{x}^*); \theta_G)$

$\mathcal{L}_{\text{rec}} \leftarrow \mathcal{L}_{\text{rec}}(\mathbf{x}^*, \mathbf{x}')$

Sample  $\mathbf{u} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$

$\mathcal{L}_{\text{ACGAN}} \leftarrow \log(d(\mathbf{x}^*)) + \log(1 - d(\mathbf{x}')) + \log(1 - d(g(\mathbf{u}))) + \log(\text{softmax}(c(\mathbf{x}^*))) + \log(\text{softmax}(c(\mathbf{x}'))) + \log(\text{softmax}(c(g(\mathbf{u}))))$

$\theta_E \leftarrow \theta_E - \nabla_{\theta_E}(\mathcal{L}_{\text{rec}} + \mathcal{L}_{\text{prior}})$

$\theta_G \leftarrow \theta_G - \nabla_{\theta_G}(\gamma \mathcal{L}_{\text{rec}} - \mathcal{L}_{\text{ACGAN}})$  (parameter  $\gamma = 0.75$  (Larsen et al., 2016) in our experiments)

$\theta_D \leftarrow \theta_D - \nabla_{\theta_D} \mathcal{L}_{\text{ACGAN}}$

$\theta_C \leftarrow \theta_C - \nabla_{\theta_C} \mathcal{L}_{\text{ACGAN}}$

**until** convergence

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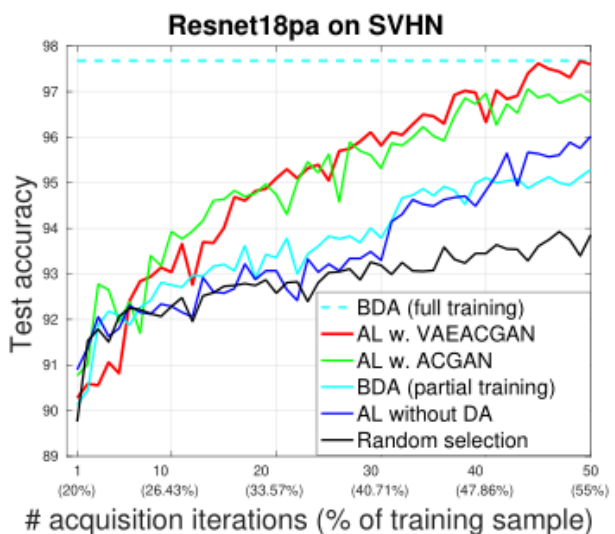
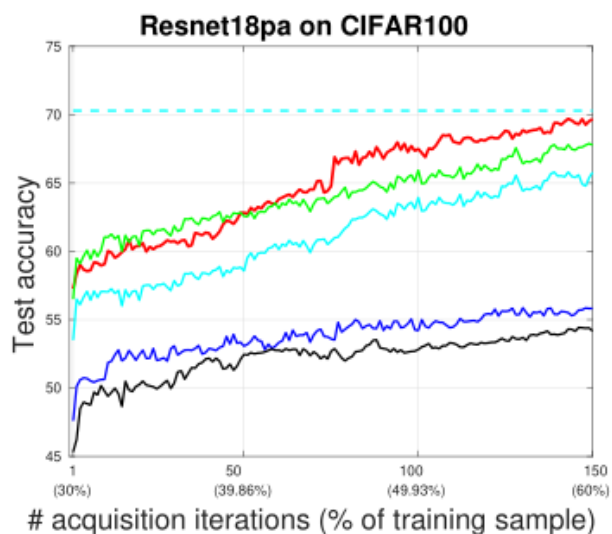
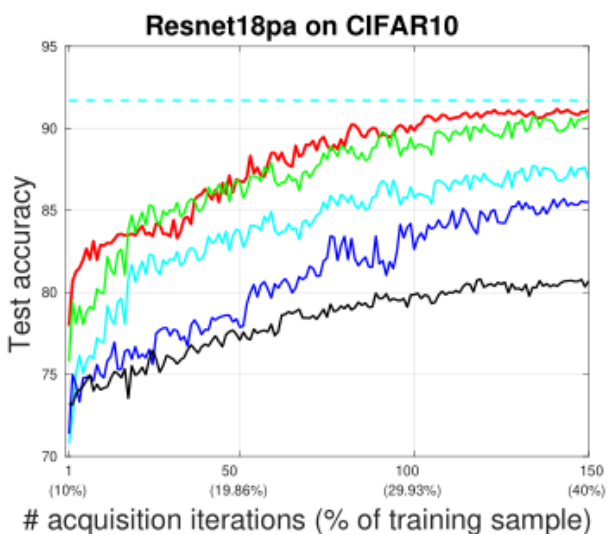
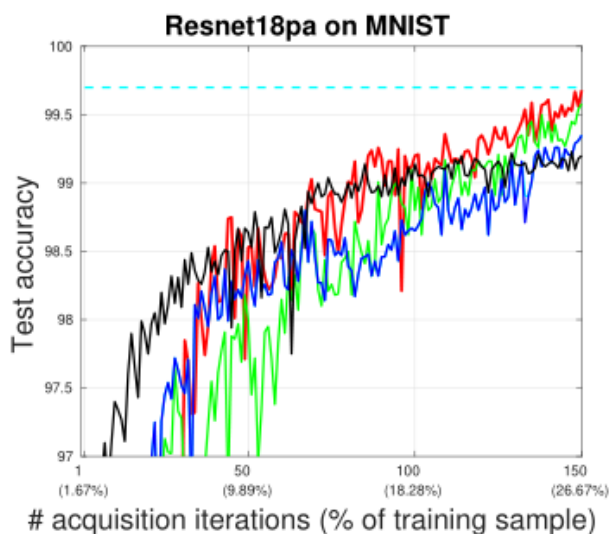
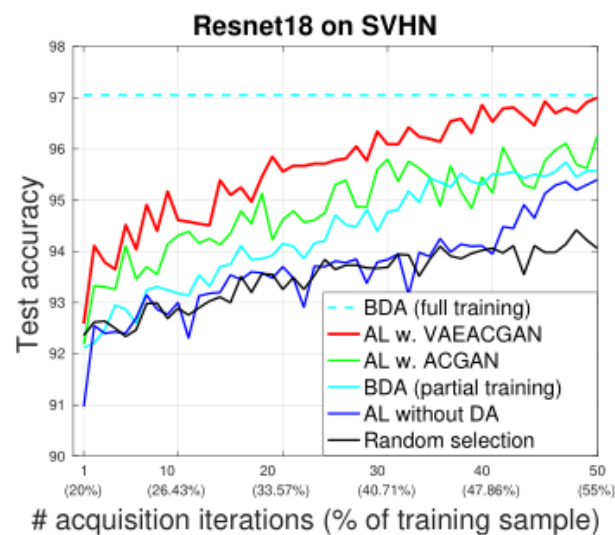
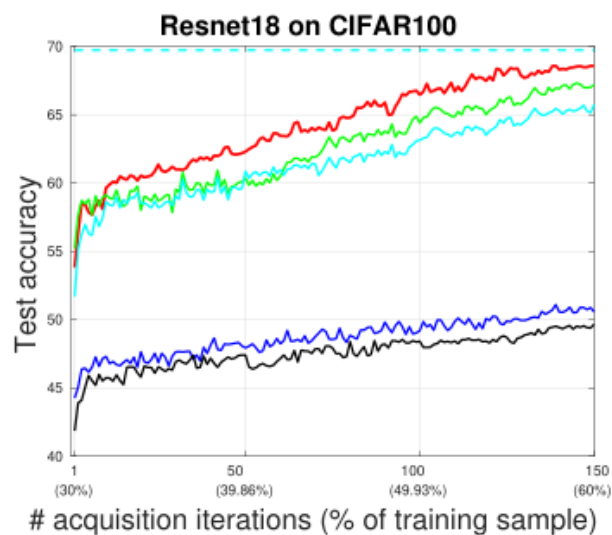
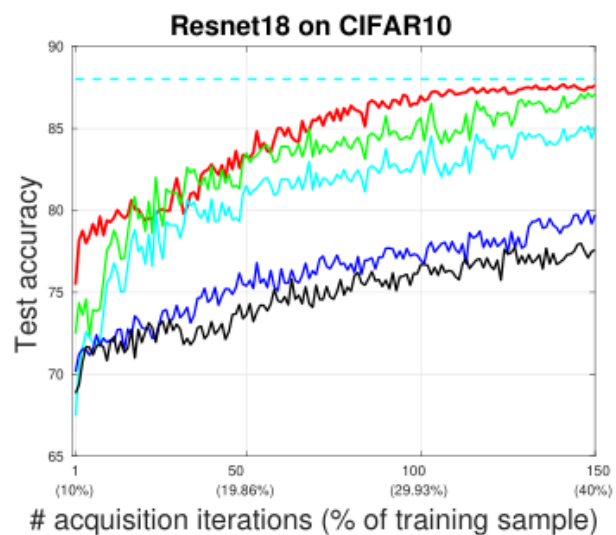
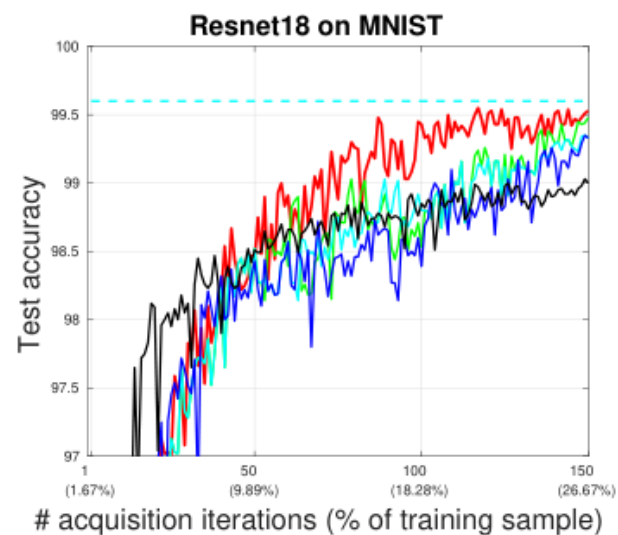
# Experiments

DataSet: MNIST,CIFAR-10,CIFAR-100, and SVHN.

|                         |                     |
|-------------------------|---------------------|
| Methods: 贝叶斯生成式主动深度学习模型 | (AL w. VAEACGAN)    |
| 使用 BDA 的主动学习模型          | (AL w. ACGAN)       |
| 未使用数据增加处理的 BALD         | (AL without DA)     |
| 未使用主动学习方法的 BDA          | (BDA)               |
| 随机生成样本                  | ( random selection) |

An upper bound : full training set (BDA (full training)) and  $10\times$  data augmentation

Classifier: ResNet18、ResNet18pa



(a) MNIST

(b) CIFAR-10

(c) CIFAR-100

(d) SVHN

Table 1. Mean  $\pm$  standard deviation of the classification accuracy on MNIST, CIFAR-10, and CIFAR-100 after 150 iterations over 3 runs

|            | MNIST                              |                  |                  |                  |                        |                  |
|------------|------------------------------------|------------------|------------------|------------------|------------------------|------------------|
|            | AL w. VAEACGAN                     | AL w. ACGAN      | AL w. PMDA       | AL WITHOUT DA    | BDA (PARTIAL TRAINING) | RANDOM SELECTION |
| RESNET18   | <b>99.53 <math>\pm</math> 0.05</b> | 99.45 $\pm$ 0.02 | 99.37 $\pm$ 0.15 | 99.33 $\pm$ 0.10 | 99.33 $\pm$ 0.04       | 99.00 $\pm$ 0.13 |
| RESNET18PA | <b>99.68 <math>\pm</math> 0.08</b> | 99.57 $\pm$ 0.07 | 99.49 $\pm$ 0.09 | 99.35 $\pm$ 0.11 | 99.35 $\pm$ 0.07       | 99.20 $\pm$ 0.12 |
|            | CIFAR-10                           |                  |                  |                  |                        |                  |
| RESNET18   | <b>87.63 <math>\pm</math> 0.11</b> | 86.80 $\pm$ 0.45 | 82.17 $\pm$ 0.35 | 79.72 $\pm$ 0.19 | 85.08 $\pm$ 0.31       | 77.29 $\pm$ 0.23 |
| RESNET18PA | <b>91.13 <math>\pm</math> 0.10</b> | 90.70 $\pm$ 0.24 | 87.70 $\pm$ 0.39 | 85.51 $\pm$ 0.21 | 86.90 $\pm$ 0.27       | 80.69 $\pm$ 0.19 |
|            | CIFAR-100                          |                  |                  |                  |                        |                  |
| RESNET18   | <b>68.05 <math>\pm</math> 0.17</b> | 66.50 $\pm$ 0.63 | 55.24 $\pm$ 0.57 | 50.57 $\pm$ 0.20 | 65.76 $\pm$ 0.40       | 49.67 $\pm$ 0.52 |
| RESNET18PA | <b>69.69 <math>\pm</math> 0.13</b> | 67.79 $\pm$ 0.76 | 59.67 $\pm$ 0.60 | 55.82 $\pm$ 0.31 | 65.79 $\pm$ 0.51       | 54.77 $\pm$ 0.29 |



(a) MNIST



(b) CIFAR-10



(c) CIFAR-100



(d) SVHN

Figure 7. Images generated by our proposed AL w. VAEACGAN approach for each data set.