### **Active Learning with Partial Feedback**

**Under review at ICLR-2019** 

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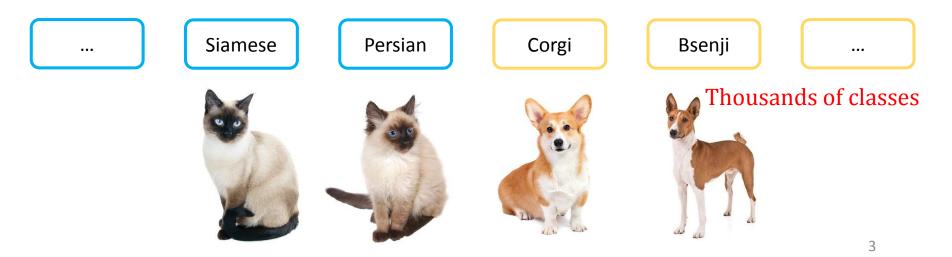
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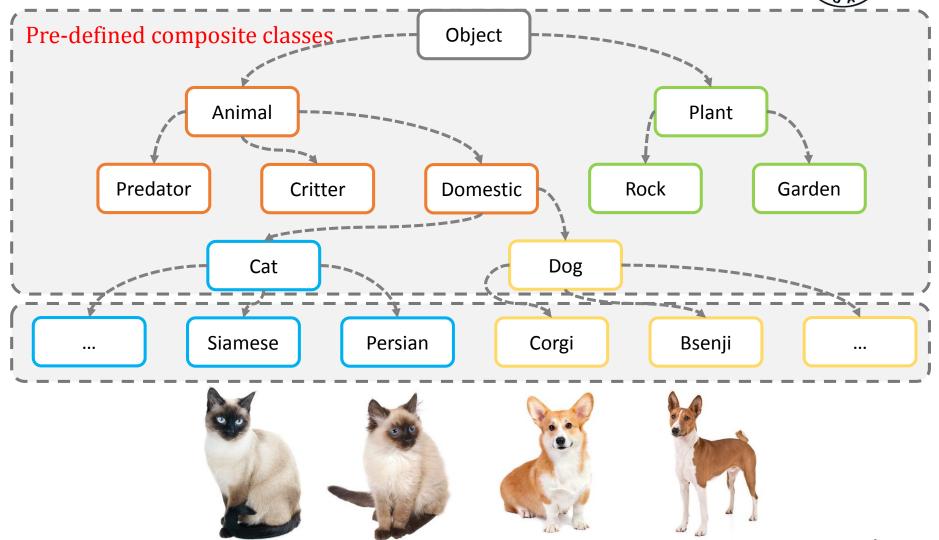
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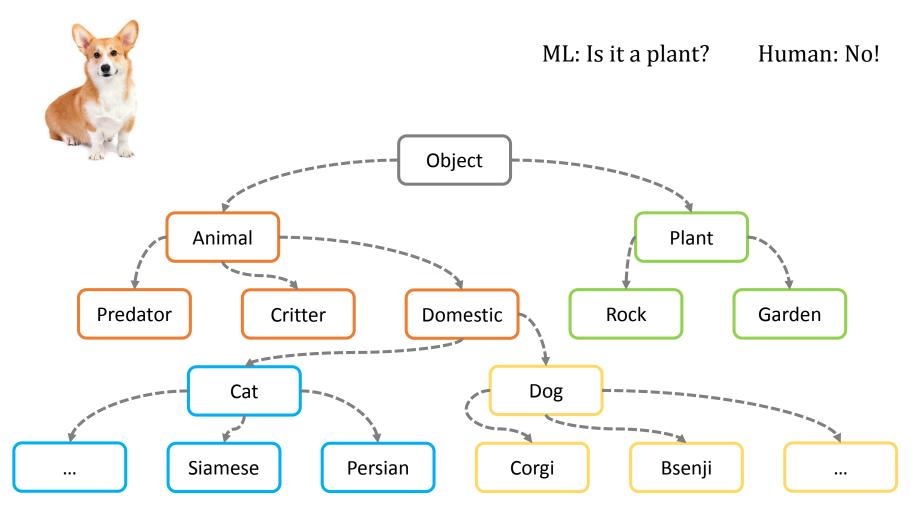
- Given a large set of unlabeled images, and a budget to collect annotations, how can we learn an accurate image classifier most economically?
- Typically, AL treats the labeling process as atomic: every annotation costs the same and produces a correct label.
- However, large-scale multi-class annotation is seldom atomic. We can't simply ask a crowd-worker to select one among 1000 classes.



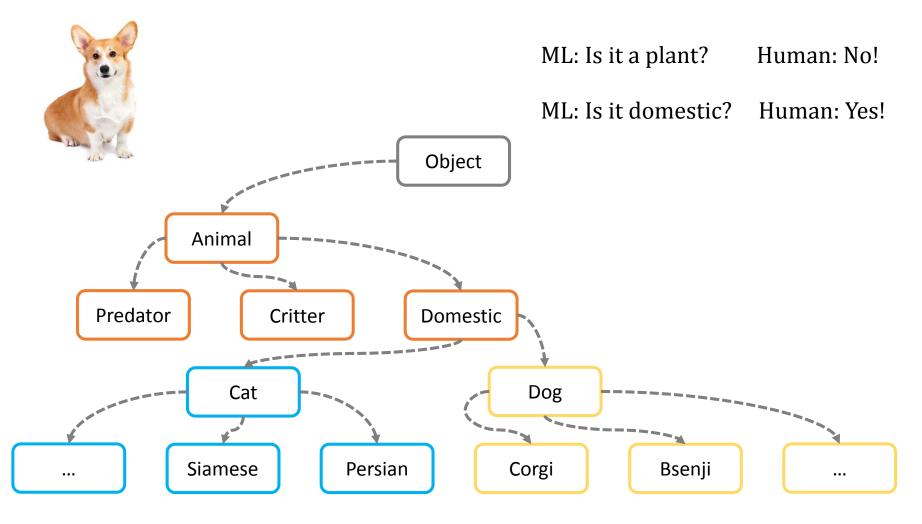




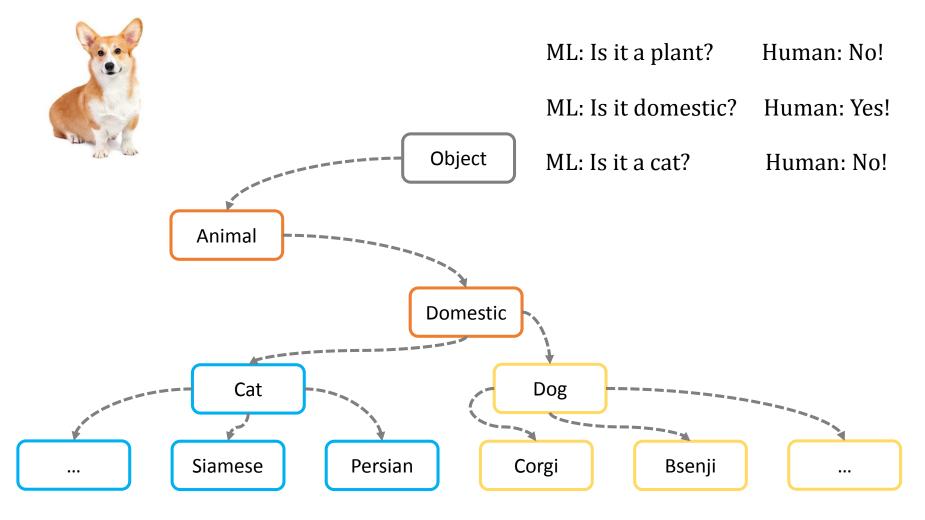




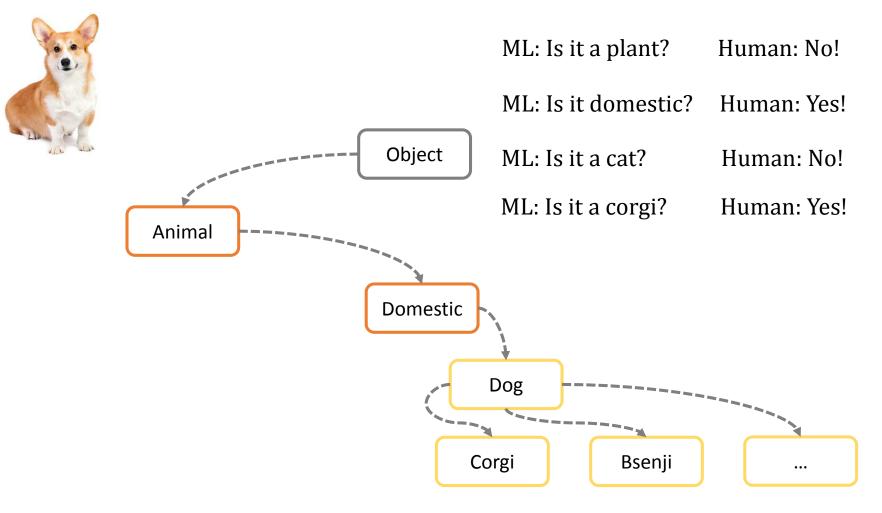




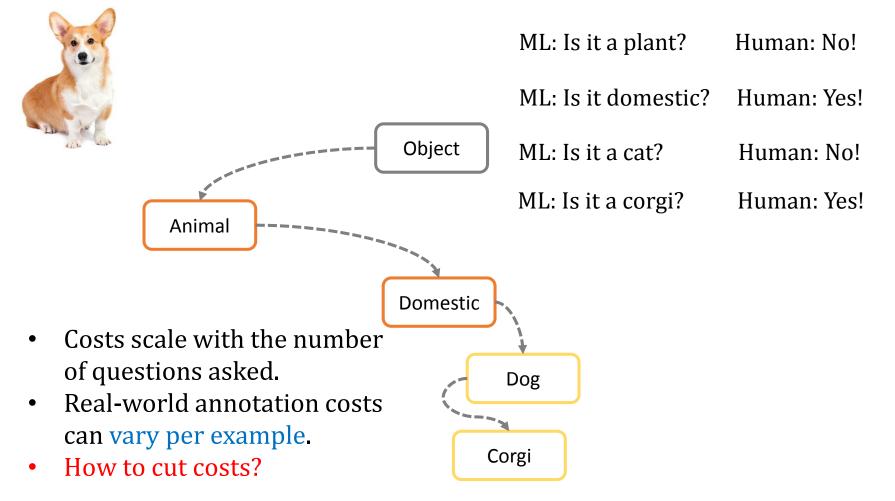








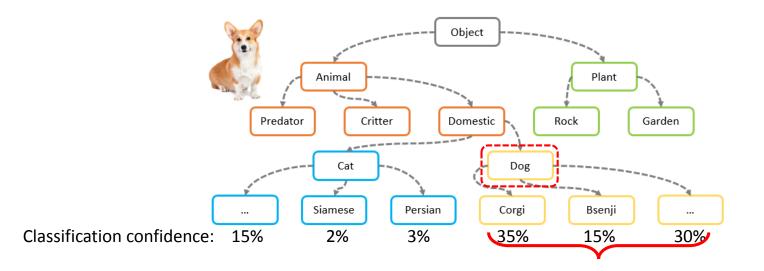






Why start at the top of the tree – "is this an artificial object?" – when we can cut costs by jumping straight to dog breeds?
(i) Good strategies for choosing (example, class) pairs.

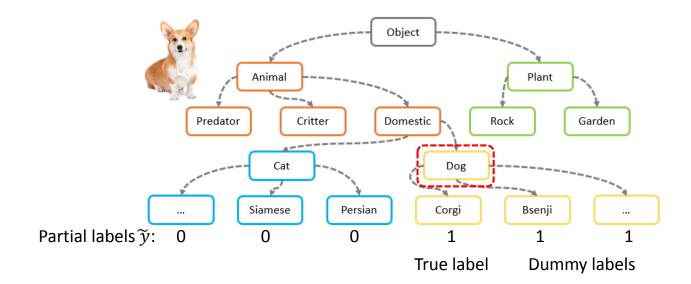
80%





- Why start at the top of the tree "is this an artificial object?" when we can cut costs by jumping straight to dog breeds?
  (i) Good strategies for choosing (example, class) pairs.
- Should we necessarily label each example to completion?

   (ii) Techniques for learning from the partially-labeled data that results when labeling examples to completion isn't required.



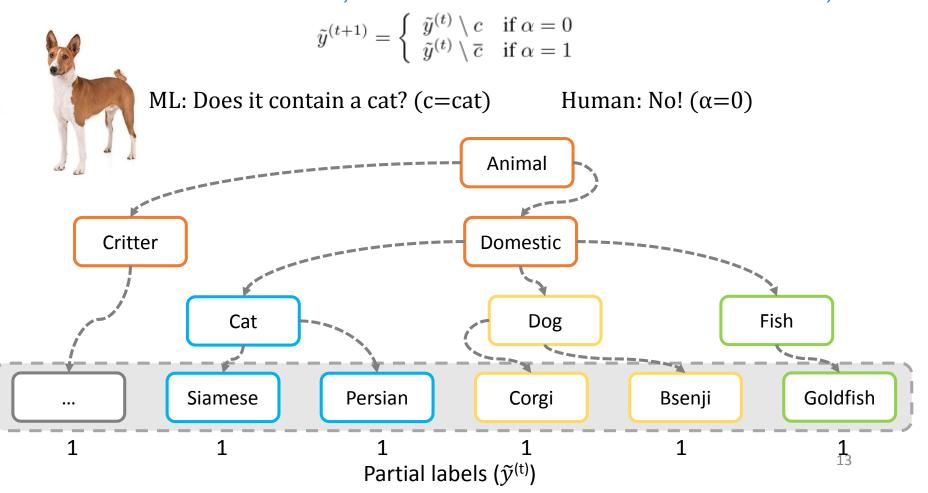
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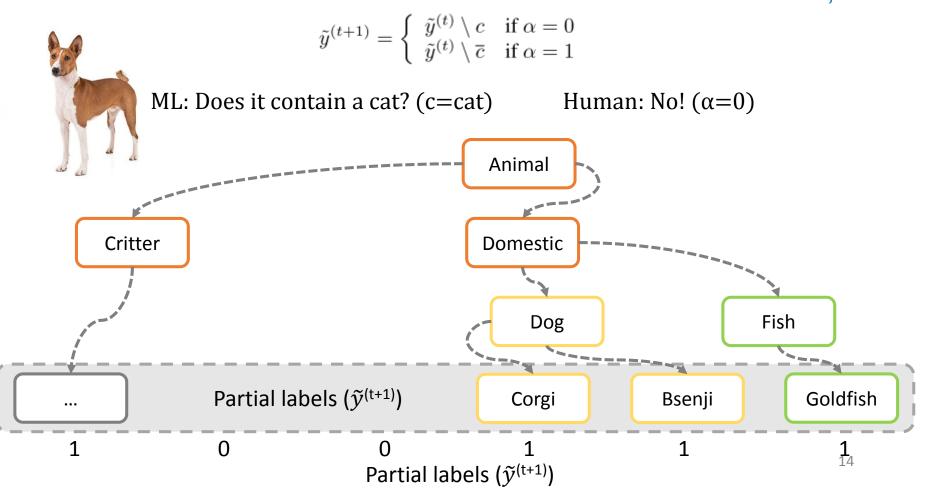


• Pick a question  $q = (x_i, c_j)$  and ask the annotator, does  $x_i$  contain a  $c_i$ ?



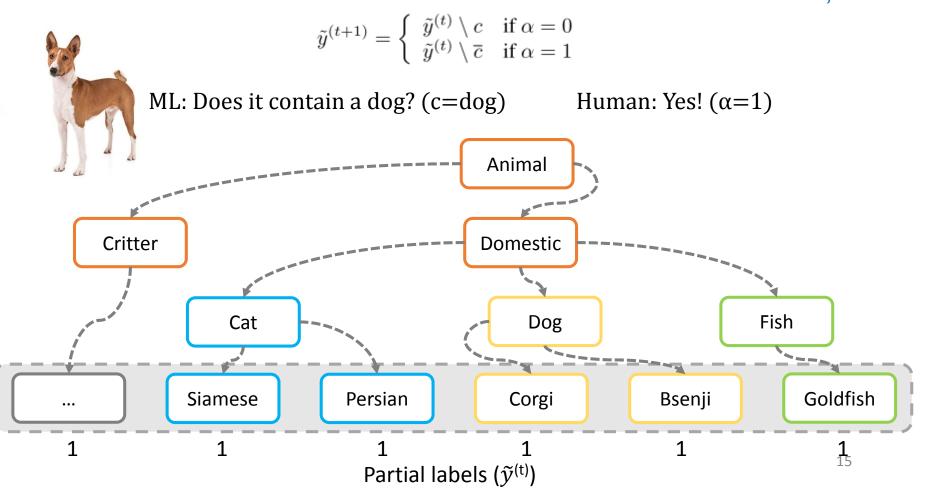


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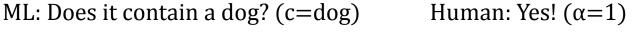
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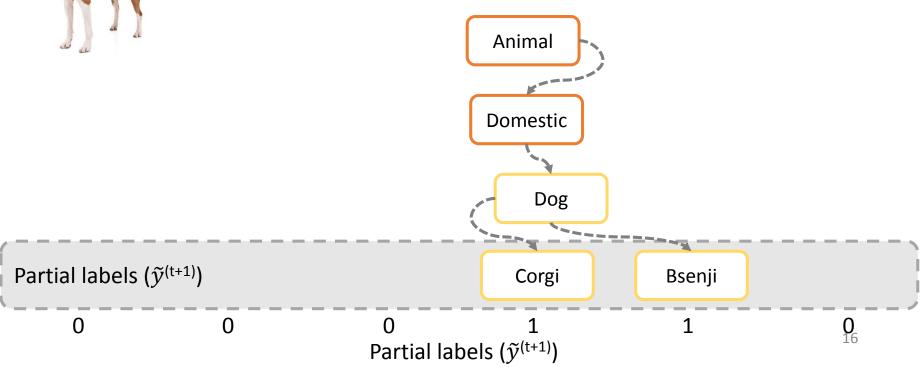




• Pick a question  $q = (x_i, c_i)$  and ask the annotator, does  $x_i$  contain a  $c_i$ ?

$$\tilde{y}^{(t+1)} = \begin{cases} \tilde{y}^{(t)} \setminus c & \text{if } \alpha = 0\\ \tilde{y}^{(t)} \setminus \overline{c} & \text{if } \alpha = 1 \end{cases}$$





#### **Learning Process**

- At each round t, the learner selects a pair (x, c) for labeling
- After receiving binary feedback, the agent updates the corresponding partial labe  $\tilde{y}^{(t)} \rightarrow \tilde{y}^{(t+1)}$
- The agent then re-estimates its model, using all available partial labels and selects another question q.
- In batch-mode, the ALPF learner re-estimates its model once per T queries which is necessary when training is expensive (e.g. deep learning).



Algorithm 1 Active Learning with Partial Feedback Input:  $\mathbf{X} \leftarrow (\mathbf{x}_1, \ldots, \mathbf{x}_N), \mathbf{Q} \leftarrow (\mathbf{q}_1, \ldots, \mathbf{q}_M),$ K, T.Input:  $\mathcal{D} \leftarrow [x_i]_{i=1}^N, \mathcal{C} \leftarrow [c_j]_{j=1}^M, k, T$ **Initialize:**  $\tilde{y}_i^{(0)} \leftarrow \{1, \ldots, k\}, \theta \leftarrow \theta^{(0)}, t \leftarrow 0$ repeat Score every  $(\boldsymbol{x}_i, c_i)$  with  $\theta$ repeat Select  $(x_{i^*}, c_{j^*})$  with the best score Query  $c_{i^*}$  on data  $x_{i^*}$ Receive feedback  $\alpha$ Update  $\tilde{y}_{i^*}^{(t+1)}$  according to  $\alpha$  $t \leftarrow t + 1$ **until** (*t* mod T = 0) or  $(\forall i, |\tilde{y}_i^{(t)}| = 1)$  $\theta \leftarrow \arg \min_{\theta} \mathcal{L}(\theta)$ **until**  $\forall i, |\tilde{y}_i^{(t)}| = 1 \text{ or } t \text{ exhausts budget}$ 



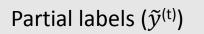
#### Learning from Partial Labels

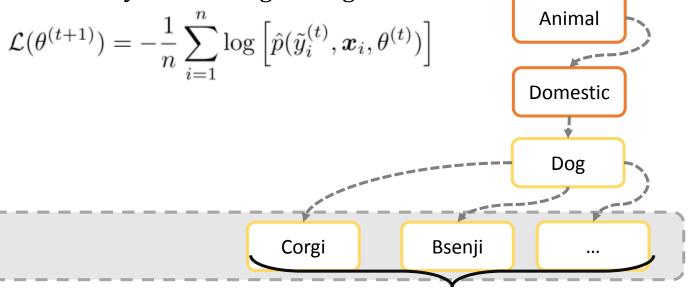
• The probability assigned to a partial label  $\tilde{y}$  can be expressed by marginalizing over the atomic classes that it contains:

$$\hat{p}(\tilde{y}^{(t)}, \boldsymbol{x}, \theta^{(t)}) = \sum_{y \in \tilde{y}^{(t)}} \hat{y}(y, \boldsymbol{x}, \theta^{(t)})$$

• We optimize our model by minimizing the log loss:









**Query Strategies** 

- Expected Information Gain (EIG): In our case, each answer to the query yields a different partial label.
- The notation  $\hat{y}_0$ , and  $\hat{y}_1$  denote consequent predictive distributions for each answer (no or yes).
- Generalizing maximum entropy to ALPF by selecting questions with greatest expected reduction in entropy.

 $\begin{array}{ll} \arg\max \underbrace{EIG_{(\boldsymbol{x},c)}}_{\text{reduction}} = \underbrace{S(\boldsymbol{\hat{y}}) - \left[\hat{p}(c,\boldsymbol{x},\theta)S(\boldsymbol{\hat{y}}_{1}) + (1-\hat{p}(c,\boldsymbol{x},\theta))S(\boldsymbol{\hat{y}}_{0})\right]}_{\text{reduction}} \\ \begin{array}{l} \text{entropy} \\ \text{entropy} \end{array} \\ \begin{array}{l} \text{entropy} \end{array} \\ \begin{array}{l} \text{entropy} \\ \text{entropy} \end{array} \end{array}$ 



#### **Query Strategies**

- Expected Remaining Classes (ERC): It's a heuristic strategy that suggests arriving as quickly as possible at exactly-labeled examples.
- At each round, ERC selects those examples for which the expected number of remaining classes is fewest:

 $\label{eq:ergmin} \ \ \underline{ERC}_{(\pmb{x},c)} = \hat{p}(c, \pmb{x}, \theta) || \hat{\pmb{y}}_1 ||_0 + (1 - \hat{p}(c, \pmb{x}, \theta)) || \hat{\pmb{y}}_0 ||_0$ 

expected remaining classes

• **Expected Decrease in Classes (EDC):** The strategy which we expect to result in the greatest reduction in the number of potential classes.

argmax 
$$EDC_{(\boldsymbol{x},c)} = |\tilde{y}^{(t)}| - ERC_{(\boldsymbol{x},c)}$$
  
expected current expected decrease partial remaining label classes

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### Experiments



#### Learning from Partial Labels

- Train a standard multi-class classifier with γ(%) exactly labeled training.
- Train another classifier with the remaining (1-γ)% partially labeled at a different granularity(level of hierarchy).

#### **Key Observations**

- Additional coarse-grained partial labels improve model accuracy
- As expected, the improvement diminishes as partial label gets coarser.

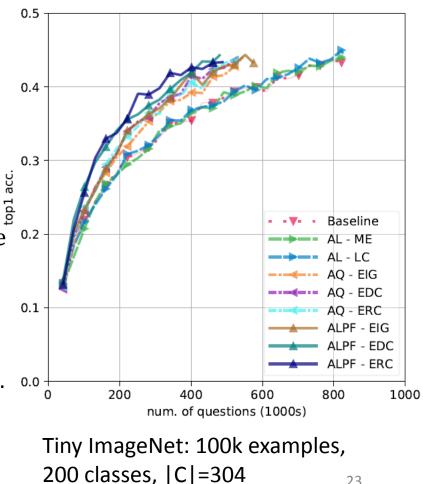
Table 1: Learning from partial labels on Tiny ImageNet. These results demonstrate the usefulness of our training scheme absent the additional complications due to ALPF. In each row,  $\gamma\%$  of examples are assigned labels at the *atomic class* (Level 0). Levels 1, 2, and 4 denote progressively coarser composite labels tracing through the WordNet hierarchy.

$\gamma(\%)$	$\gamma$	$(1 - \gamma)$		
	Level 0	Level 1	Level 2	Level 4
20	0.285	+0.113	+0.086	+0.025
40	0.351	+0.079	+0.056	+0.016
60	0.391	+0.051	+0.036	+0.018
80	0.432	+0.015	+0.017	-0.009
100	0.441	-	-	-

### Experiments



- **Baseline**: This learner samples examples at random. Once an example is sampled, the learner choosing the question that most evenly splits the probability mass until that example is exactly labeled.
- AL: Selecting examples with uncertainty sampling but selecting questions as baseline.
- AQ: Choosing examples at random but use partial feedback strategies, moving on to the next example after finding an example's exact label.
- **ALPF**: ALPF learners are free to choose any (example, question) pair at each turn.



## Experiments



		Labeling Cost						
	10%	20%	30%	40%	50%	100%		
TinyImageNet								
Baseline	0.186	0.266	0.310	0.351	0.354	0.441	827k	
AL - ME	0.169	0.269	0.303	0.347	0.365	-	827k	
AL - LC	0.184	0.262	0.313	0.355	0.369	-	827k	
AQ - EIG	0.186	0.283	0.336	0.381	0.393	-	545k	
AQ - EDC	0.196	0.291	0.353	0.386	0.415	-	530k	
AQ - ERC	0.194	0.295	0.346	0.394	0.406	-	531k	
ALPF - EIG	0.203	0.289	0.351	0.384	0.420	-	575k	
ALPF - EDC	0.220	0.319	0.363	0.397	0.420	-	482k	
ALPF - ERC	0.207	0.330	0.391	0.419	0.427	-	491k	

- Vanilla active learning does not improve over i.i.d. baselines.
- AQ provides a dramatic improvement over baseline. The advantage persists • throughout training. These learners sample examples randomly and label to completion (until an exact label is produced) before moving on, differing only in how efficiently they annotate data.
- On Tiny ImageNet, at 30% of budget, ALPF-ERC outperforms AQ methods by 4.5% and outperforms the i.i.d. baseline by 8.1%.

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# Conclusions



#### **Reviewers'** Opinions

- Reviewer 1: The way of solving both the learning from partial labels and the sampling strategies are not particularly insightful. Also, there is a lack of theoretical guarantees to show value of a partial label as compared to the true label. However, as these are not the main points of the paper (introduction of a novel learning setting), I see these as minor concerns. (**Rating: 7: Good paper, accept**)
- Reviewer 2: My main concern about this work is the lack of theoretical guarantees, which is usually important for active learning paper. it's better to provide some analysis on the efficiency of ALPF to further improve the quality of the paper. (Rating: 6: Marginally above acceptance threshold)